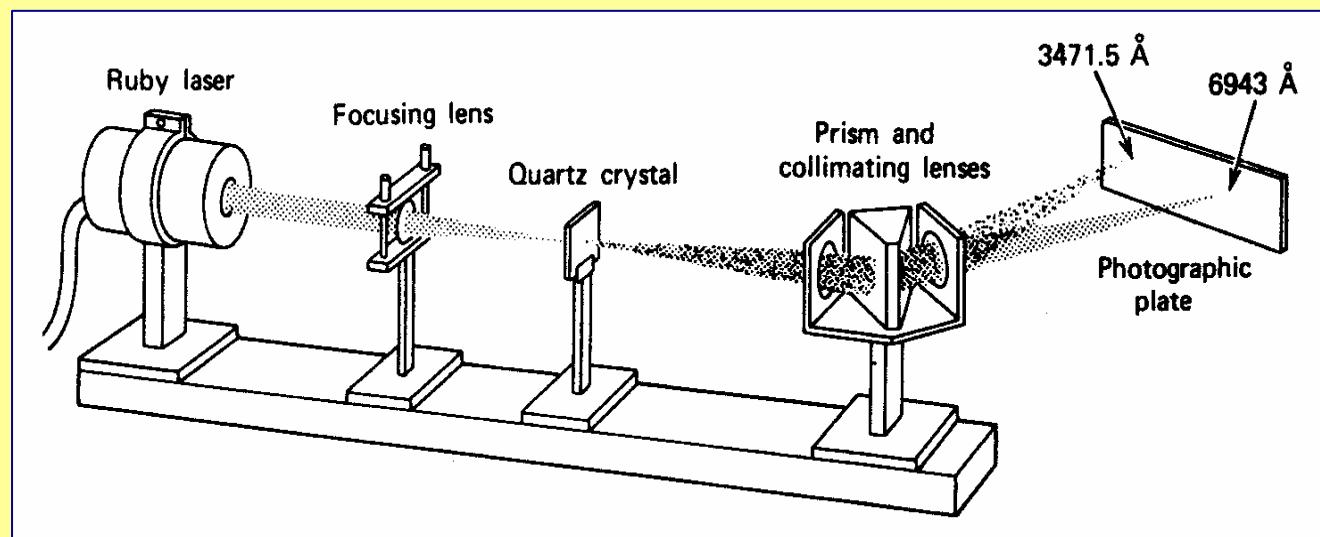


## Nonlinear Optics



Nicolaas Bloembergen  
Nobel prize 1981

The first non-linear optical laser experiment



P.A. Franken, A.E. Hill, C.W. Peters and G. Weinreich, Phys. Rev. Lett. 7 (1961) 118

## The Nonlinear Susceptibility

$$\vec{P} = \chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} + \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

Conclude for centro-symmetric media:

The  $\chi_{ij}^{(n)}$  are tensors even for lowest order

$$P_i = \chi_{ij}^{(n)} E_j$$

$$\chi^{(2n)} = 0$$

Polarization not directed along electric field vector

In media with inversion symmetry:

$$I_{\text{op}}\vec{P} = -\vec{P} = -\chi^{(1)}\vec{E} - \chi^{(2)}\vec{E}\vec{E} - \chi^{(3)}\vec{E}\vec{E}\vec{E} - \dots$$

$$I_{\text{op}}\vec{E} = -\vec{E}$$

Hence:

$$I_{\text{op}}\vec{P} = -\chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} - \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

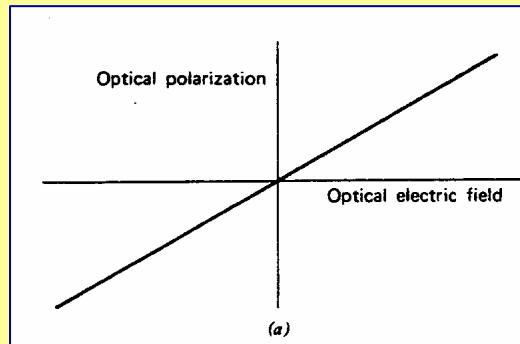
Note that in principle there exist also nonlinear magnetic susceptibilities

## Nonlinear Optics; graphically

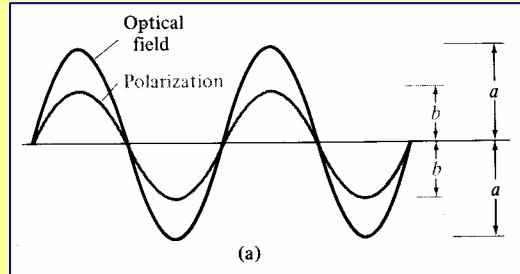
Linear response:

$$\vec{P} = \chi^{(1)} \vec{E}$$

DC

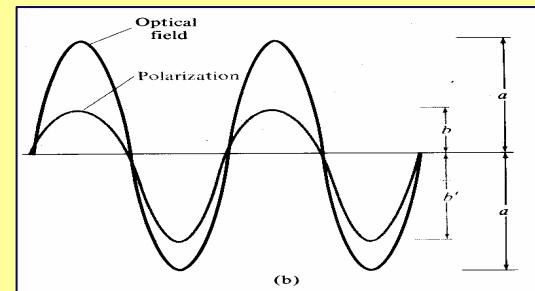
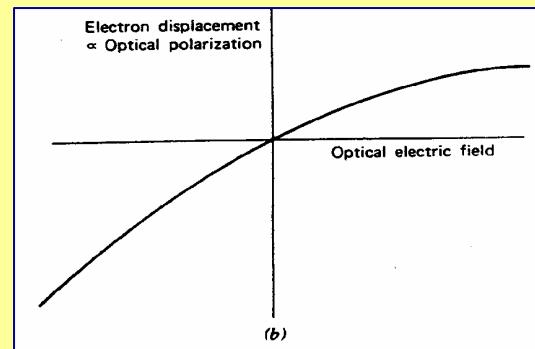


AC

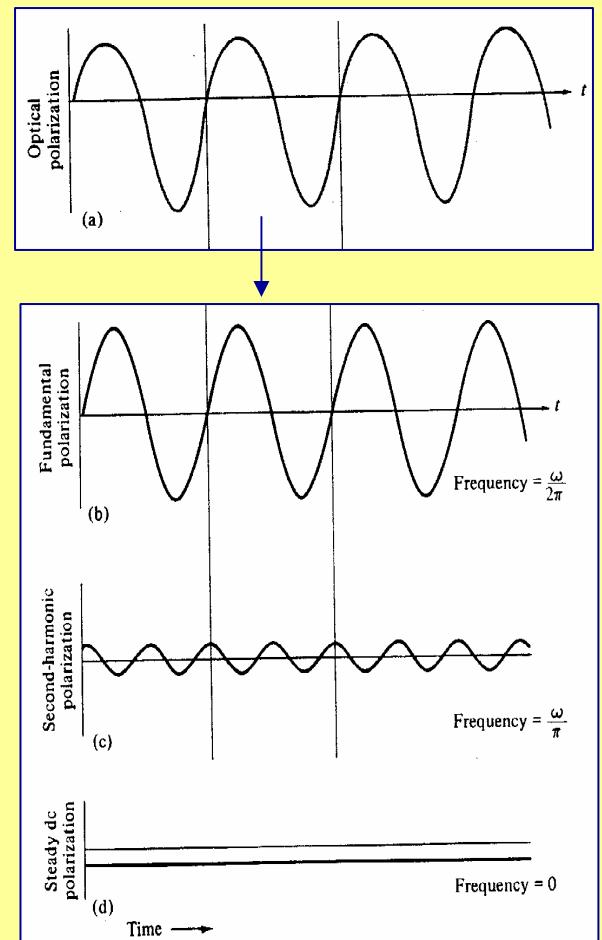


Nonlinear response:

$$\vec{P} = \chi^{(1)} \vec{E} + \chi^{(2)} \vec{E} \vec{E}$$



Nonlinear response evaluated in terms of Fourier series



$$P = \sum a_n \sin(n\omega t + \phi_n)$$

## Lorentz model of linear optics: classical oscillator

Equation of motion for a damped electronic oscillator in one dimension

$$\frac{d^2}{dt^2}\mathbf{r} + 2\gamma \frac{d}{dt}\mathbf{r} + \omega_0^2 \mathbf{r} = -\frac{e}{m} \mathbf{E}$$

Write electric field and position vector:

$$\mathbf{E} = \text{Re}[E e^{i\omega t}] \quad \mathbf{r} = \text{Re}[r e^{i\omega t}]$$

$$\rightarrow (\omega_0^2 - \omega^2)\mathbf{r} + 2i\omega\gamma\mathbf{r} = -\frac{e}{m} \mathbf{E}$$

Solution

$$r = \frac{-eE}{m[\omega_0^2 - \omega^2 + 2i\omega\gamma]} \approx \frac{-eE}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]}$$

Near resonance  $\omega = \omega_0$

$$\rightarrow r = \frac{Ne^2}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]} E = \epsilon_0 \chi(\omega) E$$

Classical polarization of the medium

$$P(\omega) = -Ner(\omega)$$

and the complex susceptibility

$$\chi(\omega) = \chi'(\omega) - i\chi''(\omega)$$

yields expressions for the susceptibility

Real part,  
connected to the index of refraction

$$\chi'(\omega) = \frac{Ne^2}{2m\omega_0\gamma\epsilon_0} \frac{(\omega_0 - \omega)/\gamma}{[1 + (\omega_0 - \omega)^2/\gamma^2]}$$

Imaginary part,  
connected to the absorption coefficient

$$\chi''(\omega) = \frac{Ne^2}{2m\omega_0\gamma\epsilon_0} \frac{1}{[1 + (\omega_0 - \omega)^2/\gamma^2]}$$

## Lorentz model of nonlinear optics: classical oscillator

Motion of electron with anharmonic term:

$$\frac{d^2}{dt^2}r + 2\gamma \frac{d}{dt}r + \omega_0^2 r - \xi r^2 = -\frac{e}{m}E$$

Try a solution in power series

$$r = r_1 + r_2 + r_3 + \dots$$

with:  $r_i = a_i E^i$

Collect terms in same order of  $E$

First order  $\frac{d^2}{dt^2}r_1 + 2\gamma \frac{d}{dt}r_1 + \omega_0^2 r_1 = -\frac{e}{m}E \quad (*)$

Second order  $\frac{d^2}{dt^2}r_2 + 2\gamma \frac{d}{dt}r_2 + \omega_0^2 r_2 = \xi r_1^2 \quad (**)$

General form of the field:

$$E = \sum E(\omega_n) e^{-i\omega_n t}$$

Calculate:  $\frac{d}{dt}r_1 \quad \frac{d^2}{dt^2}r_1$

Insert in (\*)

$$r_1 = -\frac{e}{m} \frac{\sum E(\omega_n) e^{-i\omega_n t}}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

Calculate  $r_1$  and insert in (\*\*); use

$$\left( \sum E(\omega_n) e^{i\omega_n t} \right)^2 = \sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

$$r_2 = -\frac{e\xi}{m^2} \frac{\sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}}{[\omega_0^2 - \omega_n^2 - 2i\gamma\omega_n][\omega_0^2 - \omega_m^2 - 2i\gamma\omega_m][\omega_0^2 - (\omega_n + \omega_m)^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Write polarization:

$$P = \sum P_k \quad P_k = -Ner_k$$

$$P_{\text{linear}} = \sum \chi^{(1)}(\omega_n) E(\omega_n) e^{-i\omega_n t}$$

$$P_{\text{second}} = \sum \sum \chi^{(2)}(\omega_n, \omega_m) E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

Linear and nonlinear susceptibilities:

$$\chi^{(1)}(\omega_n) = \frac{Ne^2}{m} \frac{1}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

$$\chi^{(2)}(\omega_n, \omega_m) = \frac{Ne^3 \xi}{m^2} \frac{1}{[(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n]} \frac{1}{[(\omega_0 - \omega_m)^2 - 2i\gamma\omega_m]}$$

$$\times \frac{1}{[(\omega_0 - (\omega_n + \omega_m))^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Verify:  $\chi^{(2)}(\omega_n, \omega_m) = \frac{-m\xi}{N^2 e^3} \chi^{(1)}(\omega_n) \chi^{(1)}(\omega_m) \chi^{(1)}(\omega_n + \omega_m)$

## Maxwell's equations for nonlinear optics

Starting point:

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \vec{\nabla} \times \vec{H} = \vec{j} + \frac{\partial \vec{D}}{\partial t}$$

$$\vec{\nabla} \cdot \vec{D} = \rho \quad \vec{\nabla} \cdot \vec{B} = 0$$

with

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \quad \vec{j} = \sigma \vec{E}$$

Induced polarization:

$$\vec{P} = \epsilon_0 \chi \vec{E} + \vec{P}^{NL}$$

Insert in Maxwell's equation

$$\epsilon = \epsilon_0 (1 + \chi)$$

$$\vec{\nabla} \times \vec{H} = \sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial \vec{P}^{NL}}{\partial t}$$

Use the equation for  $\vec{E}$

$$\begin{aligned} \vec{\nabla} \times \vec{\nabla} \times \vec{E} &= -\frac{\partial}{\partial t} (\vec{\nabla} \times \vec{B}) = -\mu \frac{\partial}{\partial t} (\vec{\nabla} \times \vec{H}) \\ &= -\mu \frac{\partial}{\partial t} \left( \sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial}{\partial t} \vec{P}^{NL} \right) \end{aligned}$$

Use the vector relation:

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E}$$

And (no charges in medium)  $\vec{\nabla} \cdot \vec{E} = 0$

$$\nabla^2 \vec{E} = \mu \sigma \frac{\partial \vec{E}}{\partial t} + \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Maxwell's wave equation in nonlinear optics

This equation for SI units

$$\vec{P}^{(n)} = \epsilon_0 \chi^{(n)} \vec{E}^{(n)}$$

in  $C/m^2$

Often used esu units

$$\vec{P}^{(n)} = \chi^{(n)} \vec{E}^{(n)}$$

in statvolt/cm

$$\frac{\chi_{SI}^{(n)}}{\chi_{esu}^{(n)}} = 4\pi / (10^{-4} c)^{n-1}$$

$$\frac{P_{SI}^{(n)}}{P_{esu}^{(n)}} = \frac{10^3}{c}$$

## Coupled Wave Equations

Input waves, *plane waves*, at frequencies

$$\omega_1 \quad \omega_2$$

$$\vec{E}(t) = \text{Re}[E(\omega_1)\exp(i\omega_1 t) + E(\omega_2)\exp(i\omega_2 t)]$$

Polarization at the sum-frequency:

$$P_i(\omega_1 + \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 + \omega_2)E_j(\omega_1)E_k(\omega_2)\exp[i(\omega_1 + \omega_2)t]]$$

and at the difference-frequency:

$$P_i(\omega_1 - \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 - \omega_2)E_j(\omega_1)E_k^*(\omega_2)\exp[i(\omega_1 - \omega_2)t]]$$

Notation:  $E_k(-\omega_2) = E_k^*(\omega_2)$

$$\chi_{ijk}(\omega = \omega_1 + \omega_2) \quad \text{and} \quad \chi_{ijk}(\omega = \omega_1 - \omega_2)$$

are material properties of the medium

Use Maxwell's equation

$$\nabla^2 \vec{E} = \mu\sigma \frac{\partial \vec{E}}{\partial t} + \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

- take one component of linear polarization
- propagate plane wave along  $z$ -axis

$$E_1(z, t) = E_1(z)\exp(i\omega_1 t - ik_1 z)$$

$$E_2(z, t) = E_2(z)\exp(i\omega_2 t - ik_2 z)$$

Producing a non-linear polarization at sum.

$$P_{NL}(z, t) = dE_1(z)E_2(z) \times \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z]$$

A new field is created at  $\omega_3 = \omega_1 + \omega_2$

$$E_3(z, t) = E_3(z)\exp(i\omega_3 t - ik_3 z)$$

All this is substituted into Maxwell's equation

$$\text{and} \quad \nabla^2 E_3(z, t) = \frac{d^2}{dz^2} E_3(z)$$

## Coupled Wave Equations - 2

Again

$$\nabla^2 \vec{E} - \mu\sigma \frac{\partial \vec{E}}{\partial t} - \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} = \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Substitute left side:

$$\begin{aligned} \frac{d^2}{dz^2} E_3(z,t) - \mu\sigma \frac{d}{dt} E_3(z,t) - \mu\epsilon \frac{d^2}{dt^2} E_3(z,t) = \\ \frac{d^2}{dz^2} E_3(z,t) + 2ik_3 \frac{d}{dz} E_3(z,t) - k_3^2 E_3(z,t) \\ + i\omega_3 \mu\sigma E_3(z,t) + \mu\epsilon \omega_3^2 E_3(z,t) \end{aligned}$$

Slowly varying amplitude approximation

$$\left| \frac{d^2}{dz^2} E_3(z,t) \right| \ll \left| 2ik_3 \frac{d}{dz} E_3(z,t) \right|$$

Variation of the amplitude of the distance of a wavelength is small



$$\begin{aligned} \cancel{\frac{d^2}{dz^2} E_3(z,t)} + 2ik_3 \frac{d}{dz} E_3(z,t) - \cancel{k_3^2 E_3(z,t)} \\ + i\omega_3 \mu\sigma E_3(z,t) + \mu\epsilon \omega_3^2 E_3(z,t) \end{aligned}$$

For plane waves in a medium:

$$\mu\epsilon \omega_3^2 - k_3^2 = \frac{\omega_3^2}{c^2} - k_3^2 = 0$$

So left side of wave equation:

$$2ik_3 \frac{d}{dz} E_3(z,t) + i\omega_3 \mu\sigma E_3(z,t)$$

Right side of wave equation

$$\mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL} =$$

$$\begin{aligned} \mu \frac{d^2}{dt^2} dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] = \\ - \mu (\omega_1 + \omega_2)^2 dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] \end{aligned}$$

## Coupled Wave Equations - 3

Equate left and right side and use:

$$\omega_3 = ck_3 \quad \omega_3 = \omega_1 + \omega_2$$

Then:

$$\frac{d}{dz} E_3(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_3}} E_3(z) - \frac{i\omega_3}{2} \sqrt{\frac{\mu}{\epsilon_3}} dE_1(z)E_2(z) \exp[-i(k_1 + k_2 - k_3)z]$$

This is a coupled-wave equation.

Also reverse processes occur:  $\omega_3 - \omega_2 \rightarrow \omega_1$

Leading to other coupled equations

$$\frac{d}{dz} E_1(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_1}} E_1(z) - \frac{i\omega_1}{2} \sqrt{\frac{\mu}{\epsilon_1}} dE_3(z)E_2(z)^* \exp[-i(k_3 - k_2 - k_1)z]$$

$$\frac{d}{dz} E_2(z)^* = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_2}} E_2(z)^* + \frac{i\omega_2}{2} \sqrt{\frac{\mu}{\epsilon_2}} dE_1(z)E_3(z)^* \exp[-i(k_1 + k_2 - k_3)z]$$

Three differential equations describe the couplings of the fields

Note that we used cancellation of the frequency terms via:

$$\omega_3 = \omega_1 + \omega_2$$

But this does not hold for the spatial phase factors, because:

$$\omega_i = \frac{k_i}{\sqrt{\mu\epsilon(\omega_i)}} = \frac{ck_i}{n(\omega_i)}$$

Hence:

$$k_1 + k_2 - k_3 \neq 0$$

There is a phase-mismatch because of dispersion in the medium.

Define the wave vector mismatch:

$$\Delta \vec{k} = \vec{k}_3 - \vec{k}_1 - \vec{k}_2$$

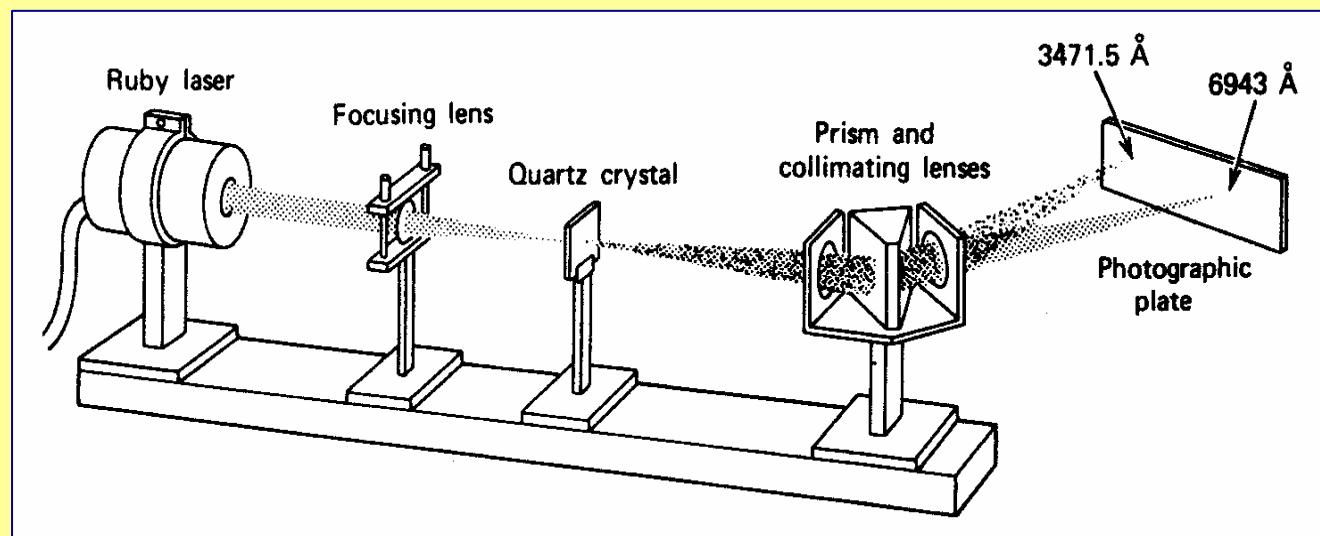
This relation pertains to plane waves;  
Later we will use focused beams.

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P.A. Franken, A.E. Hill, C.W. Peters and G. Weinreich, Phys. Rev. Lett. 7 (1961) 118

## The Nonlinear Susceptibility

$$\vec{P} = \chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} + \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

Conclude for centro-symmetric media:

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Polarization not directed along electric field vector

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Hence:

$$I_{\text{op}}\vec{P} = -\chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} - \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

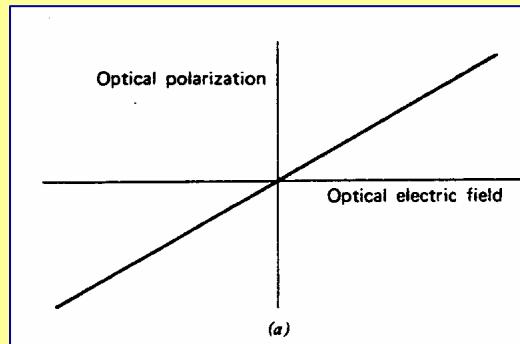
Note that in principle there exist also nonlinear magnetic susceptibilities

## Nonlinear Optics; graphically

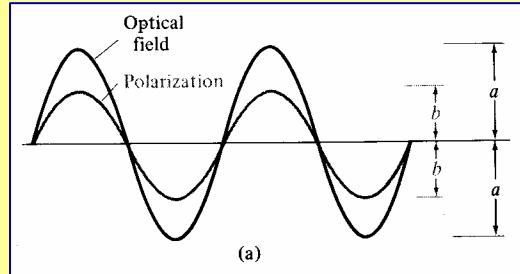
Linear response:

$$\vec{P} = \chi^{(1)} \vec{E}$$

DC

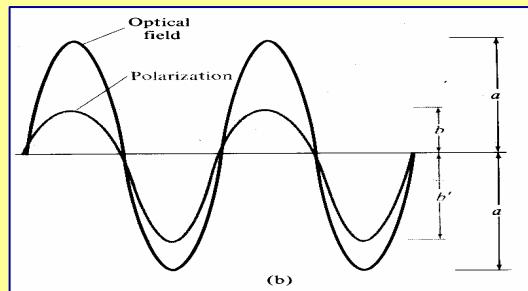
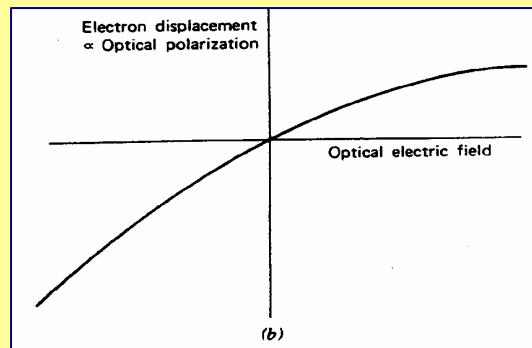


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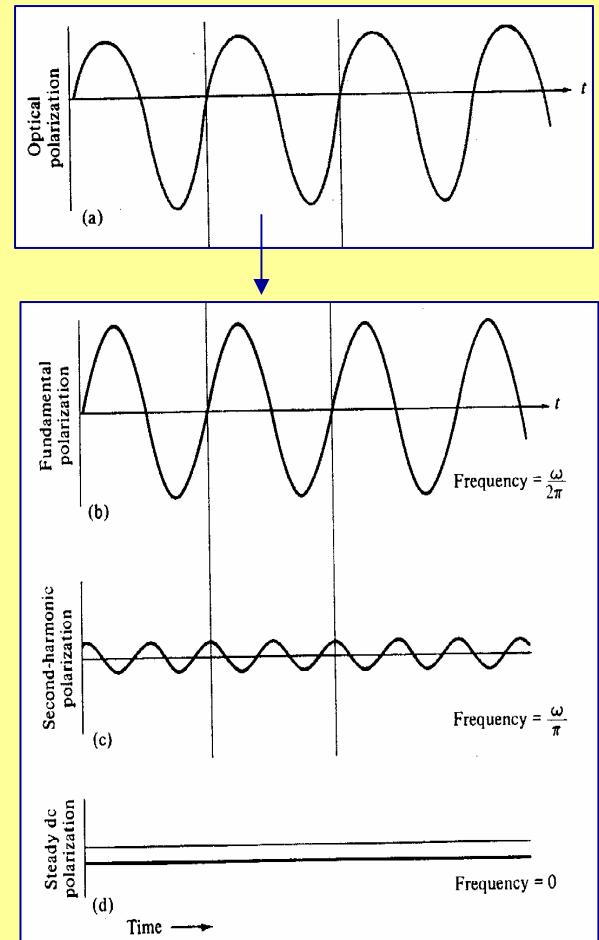


Nonlinear response:

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Nonlinear response evaluated in terms of Fourier series



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## Lorentz model of linear optics: classical oscillator

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Write electric field and position vector:

$$\mathbf{E} = \text{Re}[E e^{i\omega t}] \quad \mathbf{r} = \text{Re}[r e^{i\omega t}]$$

$$\rightarrow (\omega_0^2 - \omega^2)\mathbf{r} + 2i\omega\gamma\mathbf{r} = -\frac{e}{m} \mathbf{E}$$

Solution

$$r = \frac{-eE}{m[\omega_0^2 - \omega^2 + 2i\omega\gamma]} \approx \frac{-eE}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]}$$

Near resonance  $\omega = \omega_0$

$$\rightarrow r = \frac{Ne^2}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]} E = \epsilon_0 \chi(\omega) E$$

Classical polarization of the medium

$$P(\omega) = -Ner(\omega)$$

and the complex susceptibility

$$\chi(\omega) = \chi'(\omega) - i\chi''(\omega)$$

yields expressions for the susceptibility

Real part,  
connected to the index of refraction

$$\chi'(\omega) = \frac{Ne^2}{2m\omega_0\gamma\epsilon_0} \frac{(\omega_0 - \omega)/\gamma}{[1 + (\omega_0 - \omega)^2/\gamma^2]}$$

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Motion of electron with anharmonic term:

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Try a solution in power series

$$r = r_1 + r_2 + r_3 + \dots$$

with:  $r_i = a_i E^i$

Collect terms in same order of  $E$

First order  $\frac{d^2}{dt^2}r_1 + 2\gamma \frac{d}{dt}r_1 + \omega_0^2 r_1 = -\frac{e}{m}E \quad (*)$

Second order  $\frac{d^2}{dt^2}r_2 + 2\gamma \frac{d}{dt}r_2 + \omega_0^2 r_2 = \xi r_1^2 \quad (**)$

General form of the field:

$$E = \sum E(\omega_n) e^{-i\omega_n t}$$

Calculate:  $\frac{d}{dt}r_1 \quad \frac{d^2}{dt^2}r_1$

Insert in (\*)

$$r_1 = -\frac{e}{m} \frac{\sum E(\omega_n) e^{-i\omega_n t}}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

Calculate  $r_1$  and insert in (\*\*); use

$$\left( \sum E(\omega_n) e^{i\omega_n t} \right)^2 = \sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

$$r_2 = -\frac{e\xi}{m^2} \frac{\sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}}{[\omega_0^2 - \omega_n^2 - 2i\gamma\omega_n][\omega_0^2 - \omega_m^2 - 2i\gamma\omega_m][\omega_0^2 - (\omega_n + \omega_m)^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Write polarization:

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$$P_{\text{linear}} = \sum \chi^{(1)}(\omega_n) E(\omega_n) e^{-i\omega_n t}$$

$$P_{\text{second}} = \sum \sum \chi^{(2)}(\omega_n, \omega_m) E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

Linear and nonlinear susceptibilities:

$$\chi^{(1)}(\omega_n) = \frac{Ne^2}{m} \frac{1}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

$$\chi^{(2)}(\omega_n, \omega_m) = \frac{Ne^3 \xi}{m^2} \frac{1}{[(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n]} \frac{1}{[(\omega_0 - \omega_m)^2 - 2i\gamma\omega_m]}$$

$$\times \frac{1}{[(\omega_0 - (\omega_n + \omega_m))^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Verify:  $\chi^{(2)}(\omega_n, \omega_m) = \frac{-m\xi}{N^2 e^3} \chi^{(1)}(\omega_n) \chi^{(1)}(\omega_m) \chi^{(1)}(\omega_n + \omega_m)$

## Maxwell's equations for nonlinear optics

Starting point:

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \vec{\nabla} \times \vec{H} = \vec{j} + \frac{\partial \vec{D}}{\partial t}$$

$$\vec{\nabla} \cdot \vec{D} = \rho \quad \vec{\nabla} \cdot \vec{B} = 0$$

with

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \quad \vec{j} = \sigma \vec{E}$$

Induced polarization:

$$\vec{P} = \epsilon_0 \chi \vec{E} + \vec{P}^{NL}$$

Insert in Maxwell's equation

$$\epsilon = \epsilon_0 (1 + \chi)$$

$$\vec{\nabla} \times \vec{H} = \sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial \vec{P}^{NL}}{\partial t}$$

Use the equation for  $\vec{E}$

$$\begin{aligned} \vec{\nabla} \times \vec{\nabla} \times \vec{E} &= -\frac{\partial}{\partial t} (\vec{\nabla} \times \vec{B}) = -\mu \frac{\partial}{\partial t} (\vec{\nabla} \times \vec{H}) \\ &= -\mu \frac{\partial}{\partial t} \left( \sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial}{\partial t} \vec{P}^{NL} \right) \end{aligned}$$

Use the vector relation:

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E}$$

And (no charges in medium)  $\vec{\nabla} \cdot \vec{E} = 0$

$$\nabla^2 \vec{E} = \mu \sigma \frac{\partial \vec{E}}{\partial t} + \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Maxwell's wave equation in nonlinear optics

This equation for SI units

$$\vec{P}^{(n)} = \epsilon_0 \chi^{(n)} \vec{E}^{(n)}$$

in  $C/m^2$

Often used esu units

$$\vec{P}^{(n)} = \chi^{(n)} \vec{E}^{(n)}$$

in statvolt/cm

$$\frac{\chi_{SI}^{(n)}}{\chi_{esu}^{(n)}} = 4\pi / (10^{-4} c)^{n-1}$$

$$\frac{P_{SI}^{(n)}}{P_{esu}^{(n)}} = \frac{10^3}{c}$$

## Coupled Wave Equations

Input waves, *plane waves*, at frequencies

$$\omega_1 \quad \omega_2$$

$$\vec{E}(t) = \text{Re}[E(\omega_1)\exp(i\omega_1 t) + E(\omega_2)\exp(i\omega_2 t)]$$

Polarization at the sum-frequency:

$$P_i(\omega_1 + \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 + \omega_2)E_j(\omega_1)E_k(\omega_2)\exp[i(\omega_1 + \omega_2)t]]$$

and at the difference-frequency:

$$P_i(\omega_1 - \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 - \omega_2)E_j(\omega_1)E_k^*(\omega_2)\exp[i(\omega_1 - \omega_2)t]]$$

Notation:  $E_k(-\omega_2) = E_k^*(\omega_2)$

$$\chi_{ijk}(\omega = \omega_1 + \omega_2) \quad \text{and} \quad \chi_{ijk}(\omega = \omega_1 - \omega_2)$$

are material properties of the medium

Use Maxwell's equation

$$\nabla^2 \vec{E} = \mu\sigma \frac{\partial \vec{E}}{\partial t} + \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

- take one component of linear polarization
- propagate plane wave along  $z$ -axis

$$E_1(z, t) = E_1(z)\exp(i\omega_1 t - ik_1 z)$$

$$E_2(z, t) = E_2(z)\exp(i\omega_2 t - ik_2 z)$$

Producing a non-linear polarization at sum.

$$P_{NL}(z, t) = dE_1(z)E_2(z) \times \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z]$$

A new field is created at  $\omega_3 = \omega_1 + \omega_2$

$$E_3(z, t) = E_3(z)\exp(i\omega_3 t - ik_3 z)$$

All this is substituted into Maxwell's equation

$$\text{and} \quad \nabla^2 E_3(z, t) = \frac{d^2}{dz^2} E_3(z)$$

## Coupled Wave Equations - 2

Again

$$\nabla^2 \vec{E} - \mu\sigma \frac{\partial \vec{E}}{\partial t} - \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} = \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Substitute left side:

$$\begin{aligned} \frac{d^2}{dz^2} E_3(z,t) - \mu\sigma \frac{d}{dt} E_3(z,t) - \mu\epsilon \frac{d^2}{dt^2} E_3(z,t) = \\ \frac{d^2}{dz^2} E_3(z,t) + 2ik_3 \frac{d}{dz} E_3(z,t) - k_3^2 E_3(z,t) \\ + i\omega_3 \mu\sigma E_3(z,t) + \mu\epsilon \omega_3^2 E_3(z,t) \end{aligned}$$

Slowly varying amplitude approximation

$$\left| \frac{d^2}{dz^2} E_3(z,t) \right| \ll \left| 2ik_3 \frac{d}{dz} E_3(z,t) \right|$$

Variation of the amplitude of the distance of a wavelength is small



$$\begin{aligned} \cancel{\frac{d^2}{dz^2} E_3(z,t)} + 2ik_3 \frac{d}{dz} E_3(z,t) - \cancel{k_3^2 E_3(z,t)} \\ + i\omega_3 \mu\sigma E_3(z,t) + \mu\epsilon \omega_3^2 E_3(z,t) \end{aligned}$$

For plane waves in a medium;

$$\mu\epsilon \omega_3^2 - k_3^2 = \frac{\omega_3^2}{c^2} - k_3^2 = 0$$

So left side of wave equation;

$$2ik_3 \frac{d}{dz} E_3(z,t) + i\omega_3 \mu\sigma E_3(z,t)$$

Right side of wave equation

$$\mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL} =$$

$$\begin{aligned} \mu \frac{d^2}{dt^2} dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] = \\ - \mu (\omega_1 + \omega_2)^2 dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] \end{aligned}$$

## Coupled Wave Equations - 3

Equate left and right side and use:

$$\omega_3 = ck_3 \quad \omega_3 = \omega_1 + \omega_2$$

Then:

$$\frac{d}{dz} E_3(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_3}} E_3(z) - \frac{i\omega_3}{2} \sqrt{\frac{\mu}{\epsilon_3}} dE_1(z)E_2(z) \exp[-i(k_1 + k_2 - k_3)z]$$

This is a coupled-wave equation.

Also reverse processes occur:  $\omega_3 - \omega_2 \rightarrow \omega_1$

Leading to other coupled equations

$$\frac{d}{dz} E_1(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_1}} E_1(z) - \frac{i\omega_1}{2} \sqrt{\frac{\mu}{\epsilon_1}} dE_3(z)E_2(z)^* \exp[-i(k_3 - k_2 - k_1)z]$$

$$\frac{d}{dz} E_2(z)^* = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_2}} E_2(z)^* + \frac{i\omega_2}{2} \sqrt{\frac{\mu}{\epsilon_2}} dE_1(z)E_3(z)^* \exp[-i(k_1 + k_2 - k_3)z]$$

Three differential equations describe the couplings of the fields

Note that we used cancellation of the frequency terms via:

$$\omega_3 = \omega_1 + \omega_2$$

But this does not hold for the spatial phase factors, because:

$$\omega_i = \frac{k_i}{\sqrt{\mu\epsilon(\omega_i)}} = \frac{ck_i}{n(\omega_i)}$$

Hence:

$$k_1 + k_2 - k_3 \neq 0$$

There is a phase-mismatch because of dispersion in the medium.

Define the wave vector mismatch:

$$\Delta \vec{k} = \vec{k}_3 - \vec{k}_1 - \vec{k}_2$$

This relation pertains to plane waves;  
Later we will use focused beams.