

# Theoretical methods: Variational methods

For any Hamiltonian one can define:

$$E = \frac{\int \Psi_{trial}^* H \Psi_{trial} d\tau}{\int \Psi_{trial}^* \Psi_{trial} d\tau}$$

Trial function can be written on a Basis in Hilbert space:

$$\Psi_{trial} = \sum_n c_n \psi_n$$

with  $H\psi_n = E_n \psi_n$

Define  $E_0$  as the true ground state of the system: the smallest eigenvalue of  $H$

Consider the integral:

$$\begin{aligned} I &= \int \Psi_{trial}^* (H - E_0) \Psi_{trial} d\tau = \\ &\sum_{n,n'} c_n^* c_{n'} \int \Psi_n^* (H - E_0) \Psi_{n'} d\tau = \\ &\sum_{n,n'} c_n^* c_{n'} (E_{n'} - E_0) \int \Psi_n^* \Psi_{n'} d\tau = \\ &\sum_n |c_n|^2 (E_n - E_0) \geq 0 \end{aligned}$$

Since  $E_0$  is the smallest by definition

$$\int \Psi_{trial}^* (H - E_0) \Psi_{trial} d\tau \geq 0$$

Hence:  $E \geq E_0$

Variation theorem:  $E \geq E_0$  for any trial function except for true  $\Psi_0$



# Variational methods; another way of looking

For any Hamiltonian one can define:

$$E = \frac{\int \Psi_{trial}^* H \Psi_{trial} d\tau}{\int \Psi_{trial}^* \Psi_{trial} d\tau}$$

Starting wave function:

$$\Psi_{trial} = c_0 \Psi_0 + c_1 \Psi_1 + \dots$$

Hence the trial wave function is close to the ground state, but "contaminated" by contribution from excited states:

The expectation value of the Hamiltonian is then:

$$E = |c_0|^2 E_0 + |c_1|^2 E_1 + \dots$$

All states have higher energy than  $E_0$ :

$$E \geq (|c_0|^2 + |c_1|^2 + \dots) E_0 = E_0$$

Hence:

$$E \geq E_0$$

Strategy: look for the lowest possible energy in the system



## Application of the variational method to the hydrogen atom

Find the optimum form of a trial wave function and a bound to the energy

$$\psi_{trial} = e^{-kr}$$

Simplification: only  $r$ -dependence

$$H = -\frac{\hbar^2}{2\mu} \nabla^2 - \frac{Ze^2}{4\pi\epsilon_0 r}$$

Insert:

$$(1) \quad \int \psi_{trial}^* \psi_{trial} d\tau = \\ \int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta \int_0^\infty e^{-2kr} r^2 dr = \frac{\pi}{k^3}$$

$$(2) \quad \int \psi_{trial}^* \left( \frac{1}{r} \right) \psi_{trial} d\tau = \\ \int_0^{2\pi} d\phi \int_0^\pi \sin \theta d\theta \int_0^\infty e^{-2kr} r dr = \frac{\pi}{k^2}$$

$$(3) \quad \int \psi_{trial}^* \nabla^2 \psi_{trial} d\tau = -\frac{\pi}{k} \quad \text{verify}$$

Hence:

$$\int \Psi_{trial}^* H \Psi_{trial} d\tau = \frac{\hbar^2 \pi}{2\mu k} - \frac{Ze^2 k}{4\pi\epsilon_0 k^2}$$

Rayleigh ratio:

$$E = \frac{\int \Psi_{trial}^* H \Psi_{trial} d\tau}{\int \Psi_{trial}^* \Psi_{trial} d\tau} = \frac{\hbar^2 k^2}{2\mu} - \frac{Ze^2 k}{4\pi\epsilon_0}$$

Find minimum value of the Rayleigh ratio  
Look for the lowest possible energy:

$$\frac{dE}{dk} = \frac{\int \Psi_{trial}^* H \Psi_{trial} d\tau}{\int \Psi_{trial}^* \Psi_{trial} d\tau} = \frac{\hbar^2 k}{\mu} - \frac{Ze^2}{4\pi\epsilon_0} = 0$$


## Solution for the hydrogen atom by the variational method

$$\frac{dE}{dk} = 0 \quad \text{if} \quad k = \frac{Ze^2\mu}{4\pi\varepsilon_0\hbar^2}$$

Hence the best value for the energy is

$$E = -\frac{Ze^4\mu}{32\pi^2\varepsilon_0^2\hbar^2}$$

And wave function  
(is true wave function for 1s):

$$\psi = e^{-\frac{Ze^2\mu}{4\pi\varepsilon_0\hbar^2}r}$$

(this is the ground state of the H-atom !)



## Application to the helium atom

Hamiltonian in atomic units (hartree = 2R):

$$\left\{ -\frac{1}{2} \nabla_1^2 - \frac{1}{2} \nabla_2^2 - \frac{Z}{r_1} - \frac{Z}{r_2} + \frac{1}{r_{12}} \right\} \psi(r_1, r_2) = E \psi(r_1, r_2)$$

Neglecting the repulsion and taking H-orbitals

$$\psi(r_1, r_2) = \psi_{1s}(r_1) \psi_{1s}(r_2) = e^{-Z(r_1+r_2)}$$

The repulsion can be considered as a screening of the charge, thus lowering it:  $\alpha < Z$

$$\psi(r_1, r_2) = \psi_{1s}(r_1) \psi_{1s}(r_2) = e^{-\alpha(r_1+r_2)}$$

Calculating the expectation values:

$$\left\langle -\frac{1}{2} \nabla_1^2 \right\rangle = \left\langle -\frac{1}{2} \nabla_2^2 \right\rangle = \frac{\alpha^2}{2}$$

$$\left\langle -\frac{Z}{r_1} \right\rangle = \left\langle -\frac{Z}{r_2} \right\rangle = -Z\alpha$$

$$\left\langle \frac{1}{r_{12}} \right\rangle = \frac{5}{8}\alpha$$
Again the difficult integral

The variational:

$$E(\alpha) = \alpha^2 - 2Z\alpha + \frac{5}{8}\alpha$$



## Application to the helium atom: 2

The variational:

$$E(\alpha) = \alpha^2 - 2Z\alpha + \frac{5}{8}\alpha$$

Minimizing:

$$\frac{dE}{d\alpha} = 2\alpha - 2Z + \frac{5}{8} = 0$$

Result for screening (effective charge):

$$\alpha = Z - \frac{5}{16}$$

Energy:

$$E = -\left(Z - \frac{5}{16}\right)^2$$

Energy for helium ( $Z=2$ ) in hartrees:

$$1 \text{ hartree} = 2 R_\infty = 27.2 \text{ eV}$$

$$E = -2.75 \quad \text{for } \alpha=2$$

$$E = -2.84765 \quad \text{for } \alpha=1.6875$$

$$E = -2.89112 \quad \text{extra parameter}$$

$$E = -2.90372 \quad \text{Pekeris (1959)}$$

1078 parameters

$$E_{\text{exp}} = -2.90372$$

2010 Pachucki, Drake : 20 digit accuracy

