

Lecture Course

Advanced Experimental Methods

Non-linear optics in crystals

Wavelength conversion of laser light

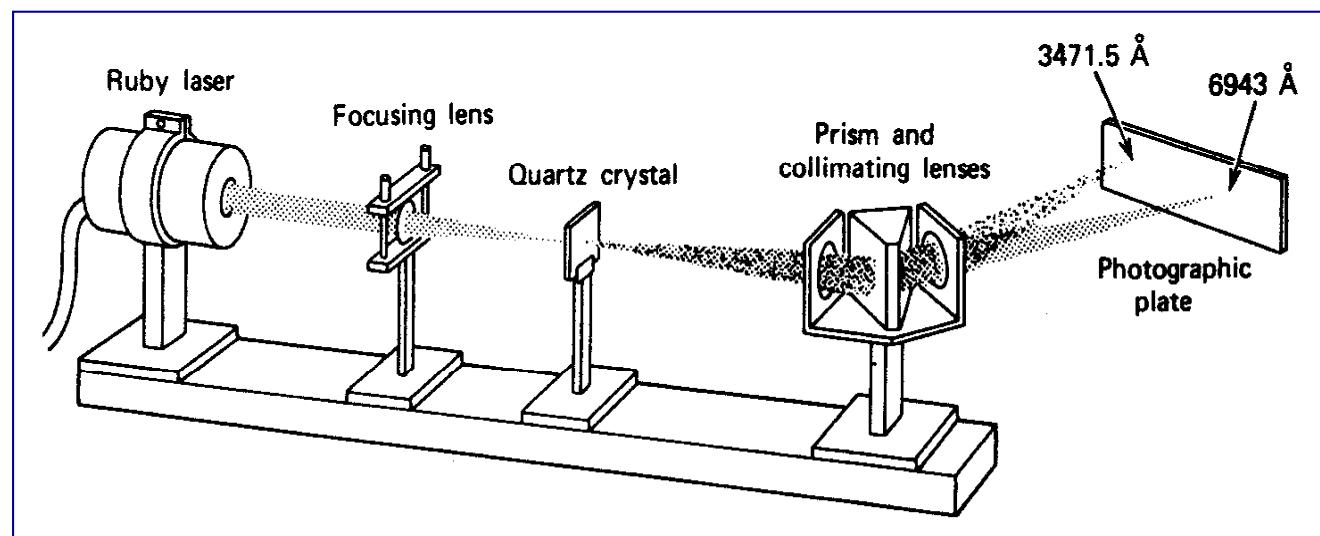
Prof. Wim Ubachs
2013
Part A

Nonlinear Optics



Nicolaas Bloembergen
Nobel prize 1981

The first non-linear optical laser experiment



P.A. Franken, A.E. Hill, C.W. Peters and G. Weinreich, Phys. Rev. Lett. 7 (1961) 118

The Nonlinear Susceptibility

$$\vec{P} = \chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} + \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

The $\chi_{ij}^{(n)}$ are tensors even for lowest order

$$P_i = \chi_{ij}^{(n)} E_j$$

Conclude for centro-symmetric media:

$$\chi^{(2n)} = 0$$

Polarization not directed along electric field vector

In media with inversion symmetry:

$$I_{\text{op}}\vec{P} = -\vec{P} = -\chi^{(1)}\vec{E} - \chi^{(2)}\vec{E}\vec{E} - \chi^{(3)}\vec{E}\vec{E}\vec{E} - \dots$$

$$I_{\text{op}}\vec{E} = -\vec{E}$$

Hence:

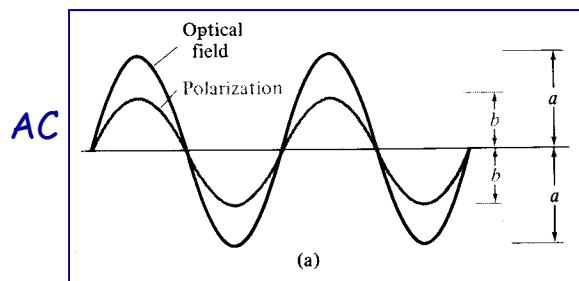
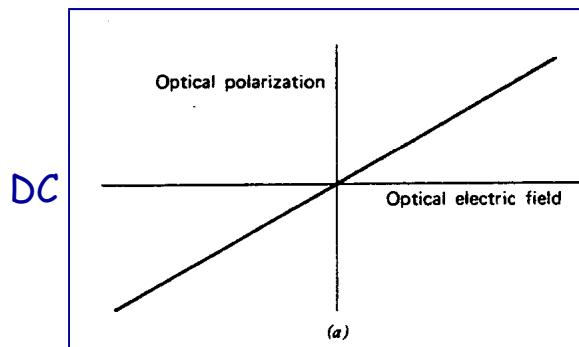
$$I_{\text{op}}\vec{P} = -\chi^{(1)}\vec{E} + \chi^{(2)}\vec{E}\vec{E} - \chi^{(3)}\vec{E}\vec{E}\vec{E} + \dots$$

Note that in principle there exist also nonlinear magnetic susceptibilities

Nonlinear Optics; graphically

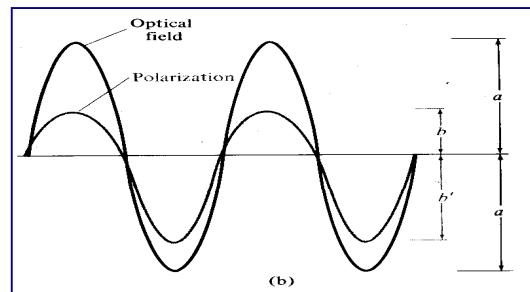
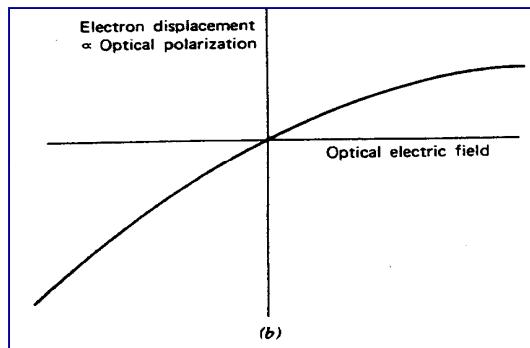
Linear response:

$$\vec{P} = \chi^{(1)} \vec{E}$$

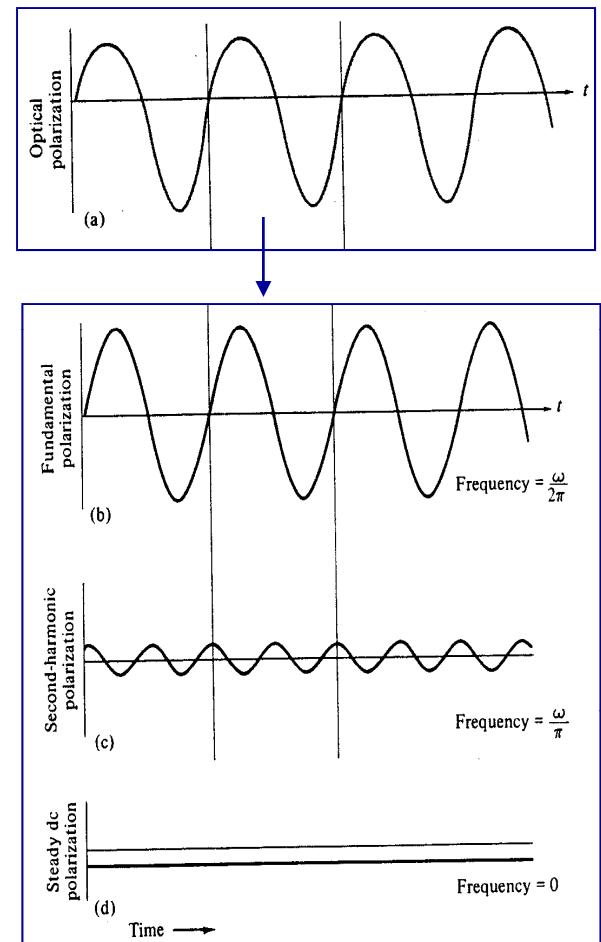


Nonlinear response:

$$\vec{P} = \chi^{(1)} \vec{E} + \chi^{(2)} \vec{E} \vec{E}$$



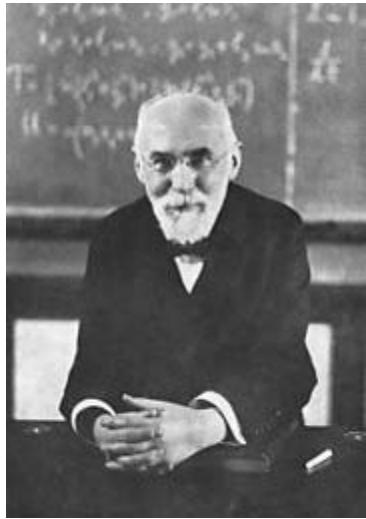
Nonlinear response evaluated in terms of Fourier series



$$P = \sum a_n \sin(n\omega t + \phi_n)$$

Intermezzo

Lorentz model of linear optics: classical oscillator



Equation of motion for a damped electronic oscillator in one dimension

Lorentz Equation

$$\frac{d^2}{dt^2} \mathbf{r} + 2\gamma \frac{d}{dt} \mathbf{r} + \omega_0^2 \mathbf{r} = -\frac{e}{m} \mathbf{E}$$

Write electric field and position vector:

$$\mathbf{E} = \text{Re}[E e^{i\omega t}] \quad \mathbf{r} = \text{Re}[r e^{i\omega t}]$$

$$\longrightarrow (\omega_0^2 - \omega^2) \mathbf{r} + 2i\omega\gamma \mathbf{r} = -\frac{e}{m} \mathbf{E}$$

Solution

$$r = \frac{-eE}{m[\omega_0^2 - \omega^2 + 2i\omega\gamma]} \approx \frac{-eE}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]}$$

Near resonance $\omega = \omega_0$



$$r = \frac{Ne^2}{2m[\omega_0(\omega_0 - \omega) + i\omega\gamma]} E = \epsilon_0 \chi(\omega) E$$

Intermezzo

Lorentz model of linear optics: classical oscillator

Classical polarization of the medium

$$P(\omega) = -Ner(\omega)$$

and the complex susceptibility

$$\chi(\omega) = \chi'(\omega) - i\chi''(\omega)$$

yields expressions for the susceptibility

Real part,
connected to the index of refraction

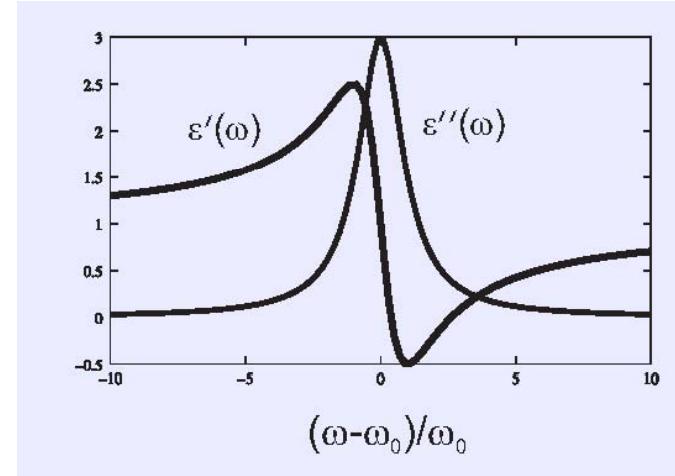
$$\chi'(\omega) = \frac{Ne^2}{2m\omega_0\gamma\varepsilon_0} \frac{(\omega_0 - \omega)/\gamma}{[1 + (\omega_0 - \omega)^2/\gamma^2]}$$

Imaginary part,
connected to the absorption coefficient

$$\chi''(\omega) = \frac{Ne^2}{2m\omega_0\gamma\varepsilon_0} \frac{1}{[1 + (\omega_0 - \omega)^2/\gamma^2]}$$

Result:

Resonance features of driven electron



Dispersion and absorption

Note also the Kramers-Kronig relation

$$\chi'(\omega) = P \int_0^{+\infty} \frac{d\nu}{\pi} \chi''(\nu) \frac{2\nu}{\nu^2 - \omega^2}$$

Intermezzo

Lorentz model of nonlinear optics: classical oscillator

Motion of electron with anharmonic term:

$$\frac{d^2}{dt^2}r + 2\gamma \frac{d}{dt}r + \omega_0^2 r - \xi r^2 = -\frac{e}{m}E$$

Try a solution in power series

$$r = r_1 + r_2 + r_3 + \dots$$

with: $r_i = a_i E^i$

Collect terms in same order of E

First order $\frac{d^2}{dt^2}r_1 + 2\gamma \frac{d}{dt}r_1 + \omega_0^2 r_1 = -\frac{e}{m}E \quad (*)$

Second order $\frac{d^2}{dt^2}r_2 + 2\gamma \frac{d}{dt}r_2 + \omega_0^2 r_2 = \xi r_1^2 \quad (**)$

General form of the field:

$$E = \sum E(\omega_n) e^{-i\omega_n t}$$

Calculate: $\frac{d}{dt}r_1 \quad \frac{d^2}{dt^2}r_1$

Insert in (*)

$$r_1 = -\frac{e}{m} \frac{\sum E(\omega_n) e^{-i\omega_n t}}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

Calculate r_1 and insert in (**); use

$$\left(\sum E(\omega_n) e^{i\omega_n t} \right)^2 = \sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

$$r_2 = -\frac{e\xi}{m^2} \frac{\sum \sum E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}}{[\omega_0^2 - \omega_n^2 - 2i\gamma\omega_n][\omega_0^2 - \omega_m^2 - 2i\gamma\omega_m][\omega_0^2 - (\omega_n + \omega_m)^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Write polarization:

$$P = \sum P_k \quad P_k = -Ner_k$$

$$P_{\text{linear}} = \sum \chi^{(1)}(\omega_n) E(\omega_n) e^{-i\omega_n t}$$

$$P_{\text{second}} = \sum \sum \chi^{(2)}(\omega_n, \omega_m) E(\omega_n) E(\omega_m) e^{-i(\omega_n + \omega_m)t}$$

Linear and nonlinear susceptibilities:

$$\chi^{(1)}(\omega_n) = \frac{Ne^2}{m} \frac{1}{(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n}$$

$$\chi^{(2)}(\omega_n, \omega_m) = \frac{Ne^3 \xi}{m^2} \frac{1}{[(\omega_0 - \omega_n)^2 - 2i\gamma\omega_n]} \frac{1}{[(\omega_0 - \omega_m)^2 - 2i\gamma\omega_m]}$$

$$\times \frac{1}{[(\omega_0 - (\omega_n + \omega_m))^2 - 2i\gamma(\omega_n + \omega_m)]}$$

Verify: $\chi^{(2)}(\omega_n, \omega_m) = \frac{-m\xi}{N^2 e^3} \chi^{(1)}(\omega_n) \chi^{(1)}(\omega_m) \chi^{(1)}(\omega_n + \omega_m)$

Maxwell's equations for nonlinear optics

Starting point:

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \vec{\nabla} \times \vec{H} = \vec{j} + \frac{\partial \vec{D}}{\partial t}$$

$$\vec{\nabla} \cdot \vec{D} = \rho \quad \vec{\nabla} \cdot \vec{B} = 0$$

with

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} \quad \vec{j} = \sigma \vec{E}$$

Induced polarization:

$$\vec{P} = \epsilon_0 \chi \vec{E} + \vec{P}^{NL}$$

Insert in Maxwell's equation

$$\epsilon = \epsilon_0 (1 + \chi)$$

$$\vec{\nabla} \times \vec{H} = \sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial \vec{P}^{NL}}{\partial t}$$

Use the equation for \vec{E}

$$\begin{aligned} \vec{\nabla} \times \vec{\nabla} \times \vec{E} &= -\frac{\partial}{\partial t} (\vec{\nabla} \times \vec{B}) = -\mu \frac{\partial}{\partial t} (\vec{\nabla} \times \vec{H}) \\ &= -\mu \frac{\partial}{\partial t} \left(\sigma \vec{E} + \epsilon \frac{\partial \vec{E}}{\partial t} + \frac{\partial}{\partial t} \vec{P}^{NL} \right) \end{aligned}$$

Use the vector relation:

$$\vec{\nabla} \times \vec{\nabla} \times \vec{E} = \vec{\nabla} (\vec{\nabla} \cdot \vec{E}) - \nabla^2 \vec{E}$$

And (no charges in medium) $\vec{\nabla} \cdot \vec{E} = 0$

$$\nabla^2 \vec{E} = \mu \sigma \frac{\partial \vec{E}}{\partial t} + \mu \epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Maxwell's wave equation in nonlinear optics

This equation for SI units

$$\vec{P}^{(n)} = \epsilon_0 \chi^{(n)} \vec{E}^{(n)}$$

in C/m²

Often used esu units

$$\vec{P}^{(n)} = \chi^{(n)} \vec{E}^{(n)}$$

in statvolt/cm

$$\frac{\chi_{SI}^{(n)}}{\chi_{esu}^{(n)}} = 4\pi / (10^{-4} c)^{n-1}$$

$$\frac{P_{SI}^{(n)}}{P_{esu}^{(n)}} = \frac{10^3}{c}$$

Coupled Wave Equations

Input waves, *plane waves*, at frequencies

$$\omega_1 \quad \omega_2$$

$$\vec{E}(t) = \text{Re}[E(\omega_1)\exp(i\omega_1 t) + E(\omega_2)\exp(i\omega_2 t)]$$

Polarization at the sum-frequency:

$$P_i(\omega_1 + \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 + \omega_2)E_j(\omega_1)E_k(\omega_2)\exp[i(\omega_1 + \omega_2)t]]$$

and at the difference-frequency:

$$P_i(\omega_1 - \omega_2) = \text{Re}[\chi_{ijk}(\omega = \omega_1 - \omega_2)E_j(\omega_1)E_k^*(\omega_2)\exp[i(\omega_1 - \omega_2)t]]$$

Notation: $E_k(-\omega_2) = E_k^*(\omega_2)$

$$\chi_{ijk}(\omega = \omega_1 + \omega_2) \quad \text{and} \quad \chi_{ijk}(\omega = \omega_1 - \omega_2)$$

are material properties of the medium

Use Maxwell's equation

$$\nabla^2 \vec{E} = \mu\sigma \frac{\partial \vec{E}}{\partial t} + \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} + \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

- take one component of linear polarization
- propagate plane wave along z -axis

$$E_1(z, t) = E_1(z)\exp(i\omega_1 t - ik_1 z)$$

$$E_2(z, t) = E_2(z)\exp(i\omega_2 t - ik_2 z)$$

Producing a non-linear polarization at sum.

$$P_{NL}(z, t) = dE_1(z)E_2(z) \times \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z]$$

A new field is created at $\omega_3 = \omega_1 + \omega_2$

$$E_3(z, t) = E_3(z)\exp(i\omega_3 t - ik_3 z)$$

All this is substituted into Maxwell's equation

$$\text{and} \quad \nabla^2 E_3(z, t) = \frac{d^2}{dz^2} E_3(z)$$

Coupled Wave Equations - 2

Again

$$\nabla^2 \vec{E} - \mu\sigma \frac{\partial \vec{E}}{\partial t} - \mu\epsilon \frac{\partial^2 \vec{E}}{\partial t^2} = \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL}$$

Substitute left side:

$$\begin{aligned} \frac{d^2}{dz^2} E_3(z,t) - \mu\sigma \frac{d}{dt} E_3(z,t) - \mu\epsilon \frac{d^2}{dt^2} E_3(z,t) = \\ \frac{d^2}{dz^2} E_3(z,t) + 2ik_3 \frac{d}{dz} E_3(z,t) - k_3^2 E_3(z,t) \\ + i\omega_3 \mu\sigma E_3(z,t) + \mu\epsilon \omega_3^2 E_3(z,t) \end{aligned}$$

$$\begin{aligned} \cancel{\frac{d^2}{dz^2} E_3(z,t)} + 2ik_3 \frac{d}{dz} E_3(z,t) - \cancel{k_3^2 E_3(z,t)} \\ + i\omega_3 \mu\sigma E_3(z,t) + \cancel{\mu\epsilon \omega_3^2 E_3(z,t)} \end{aligned}$$

For plane waves in a medium;

$$\mu\epsilon \omega_3^2 - k_3^2 = \frac{\omega_3^2}{c^2} - k_3^2 = 0$$

So left side of wave equation;

$$2ik_3 \frac{d}{dz} E_3(z,t) + i\omega_3 \mu\sigma E_3(z,t)$$

Slowly varying amplitude approximation

$$\left| \frac{d^2}{dz^2} E_3(z,t) \right| \ll \left| 2ik_3 \frac{d}{dz} E_3(z,t) \right|$$

Variation of the amplitude of the distance of a wavelength is small

Right side of wave equation

$$\begin{aligned} \mu \frac{\partial^2}{\partial t^2} \vec{P}^{NL} = \\ \mu \frac{d^2}{dt^2} dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] = \\ -\mu(\omega_1 + \omega_2)^2 dE_1(z) E_2(z) \exp[i(\omega_1 + \omega_2)t - i(k_1 + k_2)z] \end{aligned}$$

Coupled Wave Equations - 3

Equate left and right side and use:

$$\omega_3 = ck_3 \quad \omega_3 = \omega_1 + \omega_2$$

Then:

$$\frac{d}{dz} E_3(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_3}} E_3(z) - \frac{i\omega_3}{2} \sqrt{\frac{\mu}{\epsilon_3}} dE_1(z)E_2(z) \exp[-i(k_1 + k_2 - k_3)z]$$

This is a coupled-wave equation.

Also reverse processes occur: $\omega_3 - \omega_2 \rightarrow \omega_1$

Leading to other coupled equations

$$\frac{d}{dz} E_1(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_1}} E_1(z) - \frac{i\omega_1}{2} \sqrt{\frac{\mu}{\epsilon_1}} dE_3(z)E_2(z)^* \exp[-i(k_3 - k_2 - k_1)z]$$

$$\frac{d}{dz} E_2(z)^* = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_2}} E_2(z)^* + \frac{i\omega_2}{2} \sqrt{\frac{\mu}{\epsilon_2}} dE_1(z)E_3(z)^* \exp[-i(k_1 + k_2 - k_3)z]$$

Three differential equations describe the couplings of the fields

Note that we used cancellation of the frequency terms via:

$$\omega_3 = \omega_1 + \omega_2$$

But this does not hold for the spatial phase factors, because:

$$\omega_i = \frac{k_i}{\sqrt{\mu\epsilon(\omega_i)}} = \frac{ck_i}{n(\omega_i)}$$

Hence:

$$k_1 + k_2 - k_3 \neq 0$$

There is a phase-mismatch because of dispersion in the medium.

Define the wave vector mismatch:

$$\Delta \vec{k} = \vec{k}_3 - \vec{k}_1 - \vec{k}_2$$

This relation pertains to plane waves; Later we will use focused beams.

Second harmonic generation

Use a single input field:

$$E_1(z) = E_2(z)$$

Then:

$$\frac{d}{dz} E_3(z) = -\frac{\sigma}{2} \sqrt{\frac{\mu}{\epsilon_3}} E_3(z) - \frac{i\omega_3}{2} \sqrt{\frac{\mu}{\epsilon_3}} dE_1^2(z) e^{-i(2k_1 - k_3)z}$$

Assume now:

- There is a nonlinearity d (only for certain symmetry)
- No absorption in the medium, so $\sigma=0$
- Only little production of wave ω_3 , so no back-conversion
- Wave vector mismatch is

$$\Delta k = k^{(2\omega)} - 2k^{(\omega)}$$

The coupled wave equation can be integrated:

$$E^{(2\omega)}(z) = -i\omega \sqrt{\frac{\mu}{\epsilon^{(2\omega)}}} dE^2(\omega) \int e^{i\Delta kz} dz$$

Conditions

- 1) Integration from 0 to L (length of medium)
- 2) And boundary $E^{(2\omega)}(0) = 0$

Result of integration:

$$E^{(2\omega)}(L) = -\omega \sqrt{\frac{\mu}{\epsilon^{(2\omega)}}} dE^2(\omega) \frac{e^{i\Delta k L} - 1}{\Delta k}$$

Output of second harmonic is:

$$E^{(2\omega)}(L) E^{(2\omega)}(L)^* = \frac{\omega^2 \mu}{n^2 \epsilon_0} d^2 |E(\omega)|^4 L^2 \frac{\sin^2\left(\frac{\Delta k L}{2}\right)}{\left(\frac{\Delta k L}{2}\right)^2}$$

Power at second harmonic:

$$P^{(2\omega)} \propto \omega^2 d^2 L^2 \frac{\sin^2\left(\frac{\Delta k L}{2}\right)}{\left(\frac{\Delta k L}{2}\right)^2} \frac{P^{(\omega)}|^2}{A}$$

Second harmonic power; conditions

Conversion efficiency:

$$\eta_{SHG} = \frac{P^{(2\omega)}}{P^{(\omega)}} \propto \omega^2 d^2 L^2 \frac{\sin^2\left(\frac{\Delta k L}{2}\right)}{\left(\frac{\Delta k L}{2}\right)^2} P^{(\omega)}$$

1) Second harmonic produced is proportional to

$$P^{(2\omega)} \propto P^{(\omega)2}$$

nonlinear power production

2) Efficiency is proportional to d^2 or

$$|\chi^{(2)}|^2$$

3) Efficiency is proportional to L^2
and a sinc function

$$\eta_{SHG} \propto L^2 \sin c\left(\frac{\Delta k L}{2}\right)$$

4) Efficiency is optimal if

$$\Delta k = 0$$

This is the "phase-matching condition"
cannot be met, because:

$$k^{(2\omega)} \neq 2k^{(\omega)}$$

$$\text{Use: } k = \frac{n\omega}{c}$$

$$k^{(2\omega)} = \frac{2n^{(2\omega)}\omega}{c} \quad 2k^{(\omega)} = \frac{2n^{(\omega)}\omega}{c}$$

And dispersion in the medium:

$$n^{(2\omega)} > n^{(\omega)}$$

So always $\Delta k \neq 0$

Physics: two waves with

$$E_\omega(z, t) = E_\omega \exp[i\omega t - ik^{(\omega)}z]$$

$$E_{2\omega}(z, t) = E_{2\omega} \exp[2i\omega t - ik^{(2\omega)}z]$$

will run *out of phase*

Coherence length and Maker fringes

After a distance the waves will run out of phase

$$\Delta k l = \pi$$

Then the amplitude is at maximum.
The wave will die out in:

$$L_c = 2l$$

The coherence length:

$$L_c = \frac{2\pi}{\Delta k} = \frac{2\pi}{k^{(2\omega)} - 2k^{(\omega)}} =$$

$$\frac{\pi c}{2\omega(n^{(2\omega)} - n^{(\omega)})} = \frac{\lambda}{4(n^{(2\omega)} - n^{(\omega)})}$$

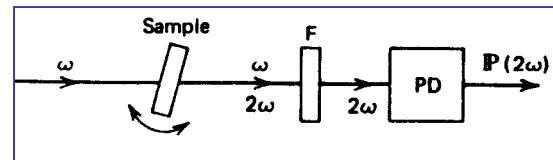
Typical values

$$\lambda = 1 \mu m$$

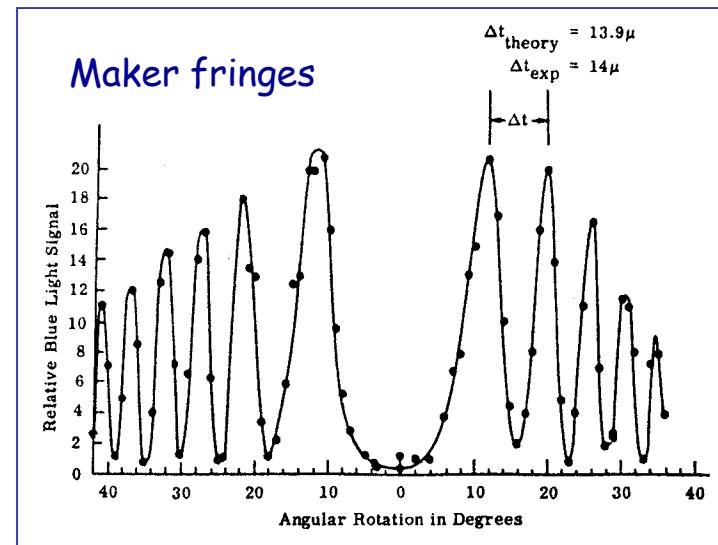
$$n^{(2\omega)} - n^{(\omega)} \approx 10^{-2}$$

$$L_c = 25 \mu m$$

Experiment:



P.D. Maker, R.W. Terhune, M. Nisenoff, and C. M. Savage,
Phys. Rev. Lett. 8, 19 (1962).



Only effective length of L_c can be used
(Note: non-sinusoidal behavior due to
"non-critical phase matching")

Intermezzo

Solution to problem: anisotropic media

Induced polarization in a medium:

$$\vec{P} = \epsilon_0 \chi \vec{E}$$

Susceptibility is tensor of rank 2, causing the P and E vectors to have different directions

$$P_1 = \epsilon_0 (\chi_{11} E_1 + \chi_{12} E_2 + \chi_{13} E_3)$$

$$P_2 = \epsilon_0 (\chi_{21} E_1 + \chi_{22} E_2 + \chi_{23} E_3)$$

$$P_3 = \epsilon_0 (\chi_{31} E_1 + \chi_{32} E_2 + \chi_{33} E_3)$$

Elements of tensor depend on coordinate frame:

$$\vec{D} = \epsilon_0 \vec{E} + \vec{P} = \epsilon_0 (1 + \chi_{ij}) \vec{E} = \epsilon_{ij} \vec{E}$$

With permittivity tensor $\vec{\epsilon}_{ij}$

Monochromatic plane wave with perpendicular:

$$\vec{E} \exp[i\omega t - i\vec{k} \cdot \vec{r}]$$

$$\vec{H} \exp[i\omega t - i\vec{k} \cdot \vec{r}]$$

Wavefront vector

$$\vec{k} = \frac{n\omega}{c} \vec{s}$$

Maxwell's equations (non-magnetic media)

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \vec{\nabla} \times \vec{H} = \frac{\partial \vec{D}}{\partial t}$$

Derivatives:

$$\vec{\nabla} \rightarrow -i\vec{k} = -i \frac{n\omega}{c} \vec{s} \quad \frac{\partial}{\partial t} \rightarrow i\omega$$

For the plane waves:

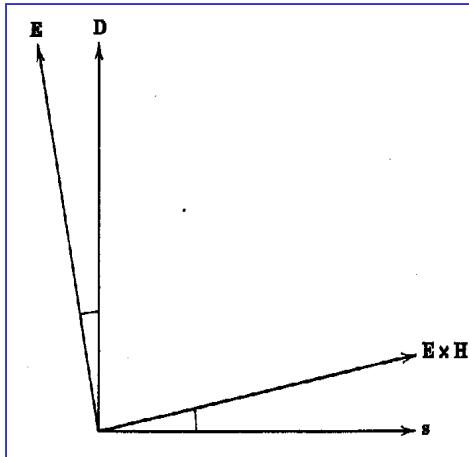
$$\vec{k} \times \vec{E} = \mu_0 \omega \vec{H} \quad \vec{k} \times \vec{H} = -\omega \vec{D}$$

Two vectors orthogonal to k

$$\vec{k} \perp \vec{H} \quad \vec{k} \perp \vec{D}$$

Intermezzo

Group and Phase velocity



H and D perpendicular to wave vector
Verify:

$$\vec{E} \perp \vec{H}$$

Further

$$\vec{D} = \bar{\epsilon} \vec{E}$$

If ϵ is a scalar then D and E parallel,
but this is not the case in general

Poynting vector:

$$\vec{S} = \vec{E} \times \vec{H}$$

Is not along k -vector



Group Velocity is not equal to Phase Velocity
- in magnitude
- in direction

Intermezzo

Fresnel equations

Verify: $-\vec{k} \times \vec{k} \times \vec{E} = \vec{\nabla} \times \vec{\nabla} \times \vec{E} = \omega^2 \mu \vec{D}$

→ Fresnel's equation

Use: $\vec{k} \times \vec{k} \times \vec{E} = \vec{k}(\vec{k} \cdot \vec{E}) - \vec{E}(\vec{k} \cdot \vec{k})$

$$\frac{s_x^2}{\frac{1}{n^2} - \frac{\epsilon_0}{\epsilon_x}} + \frac{s_y^2}{\frac{1}{n^2} - \frac{\epsilon_0}{\epsilon_y}} + \frac{s_z^2}{\frac{1}{n^2} - \frac{\epsilon_0}{\epsilon_z}} = 0$$

→ $\vec{D} = n^2 \epsilon_0 [\vec{E} - \vec{s}(\vec{s} \cdot \vec{E})]$

Choose coordinate frame (x,y,z) along principal dielectric axes

$$\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \epsilon_x & 0 & 0 \\ 0 & \epsilon_y & 0 \\ 0 & 0 & \epsilon_z \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}$$

Permittivities ϵ_i differ along axes

$$D_i = n^2 \epsilon_0 \left[\frac{D_i}{\epsilon_i} - (\vec{s} \cdot \vec{E}) \right]$$

Hence: $D_i = \frac{\epsilon_0 (\vec{s} \cdot \vec{E})}{\frac{1}{n^2} - \frac{\epsilon_0}{\epsilon_i}}$

Form the scalar product $\vec{s} \cdot \vec{D} = 0$

Equation is quadratic in n and will have two solutions n' and n''

Two waves $D'(n')$ and $D''(n'')$ obey the equation

$$\begin{aligned} \mathbf{D}' \cdot \mathbf{D}'' &= \epsilon_0^2 (\mathbf{s} \cdot \mathbf{E})^2 \left\langle \sum_{x,y,z} \frac{s_\alpha^2}{\left(\frac{1}{n'^2} - \frac{\epsilon_0}{\epsilon_\alpha} \right) \left(\frac{1}{n''^2} - \frac{\epsilon_0}{\epsilon_\alpha} \right)} \right\rangle \\ &= \epsilon_0^2 (\mathbf{s} \cdot \mathbf{E})^2 \frac{(n' n'')^2}{(n'^2 - n''^2)} \left\langle \sum_{x,y,z} \left[\frac{s_\alpha^2}{\left(\frac{1}{n'^2} - \frac{\epsilon_0}{\epsilon_\alpha} \right)} + \frac{s_\alpha^2}{\left(\frac{1}{n''^2} - \frac{\epsilon_0}{\epsilon_\alpha} \right)} \right] \right\rangle \end{aligned}$$

Summation α is over x,y,z

→ $\vec{D}' \cdot \vec{D}'' = 0$

Anisotropic crystal can transmit two waves with perpendicular parallel polarizations (and any linear combination of these two)

Refraction at boundary of anisotropic crystal

Incident beam is always decomposed into two eigenmodes of the anisotropic crystal

$$\vec{D}'(n') \quad \vec{D}''(n'')$$

These modes are orthogonal to each other.
Each of the two modes undergoes refraction
with its index n' or n''

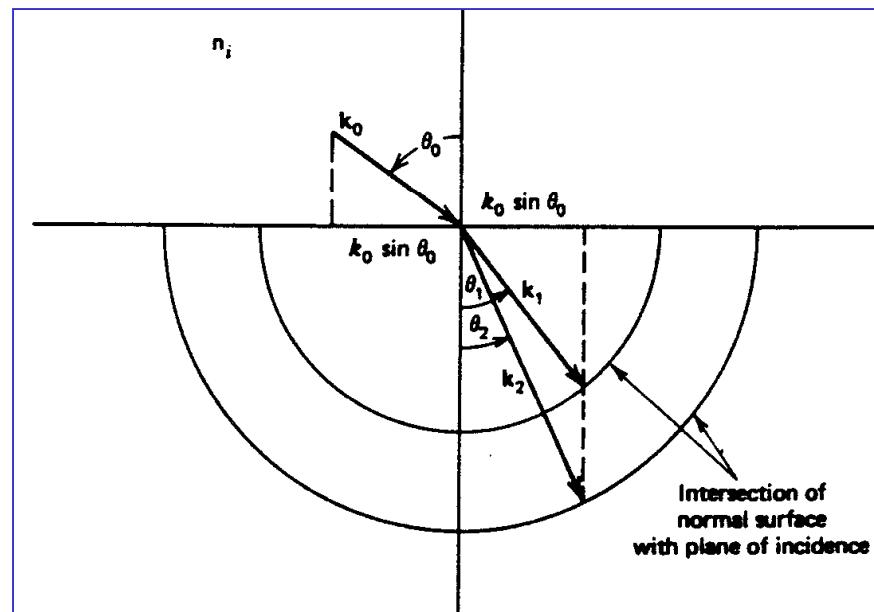
Hence:

$$k_0 \sin \theta_0 = k_1 \sin \theta_1 = k_2 \sin \theta_2$$

This is:

Double refraction

Birefringence



Intermezzo

The index ellipsoid

Energy stored in an electric field in a medium:

$$U_e = \frac{1}{2}(\mathbf{E} \cdot \mathbf{D})$$

With: $D_i = \epsilon_i E_i$

$$\frac{D_x^2}{\epsilon_x} + \frac{D_y^2}{\epsilon_y} + \frac{D_z^2}{\epsilon_z} = 2U_e$$

This is a surface (ellipsoid) of constant energy

Define a normalized polarization vector:

$$\vec{r} = \vec{D} \sqrt{2U_e}$$

Index ellipsoid:

$$\frac{x^2}{n_x^2} + \frac{y^2}{n_y^2} + \frac{z^2}{n_z^2} = 1$$

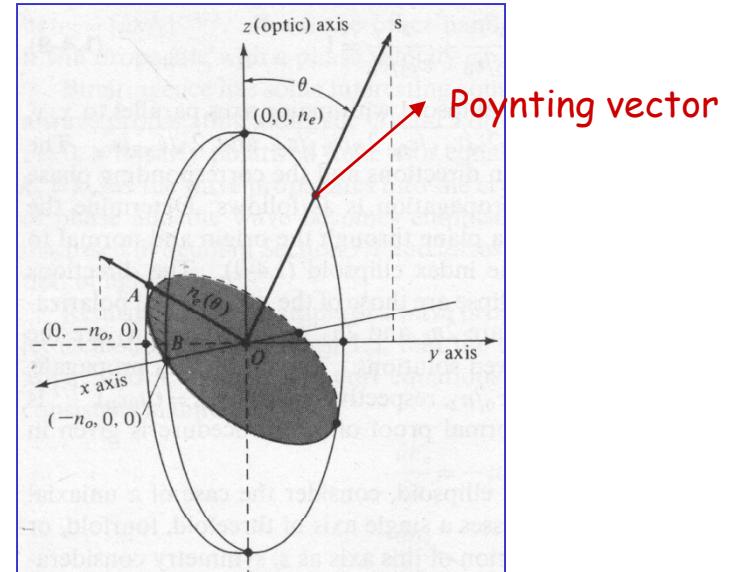
Three-dimensional body to find two indices of refraction for the two waves D

Uni-axial crystal:

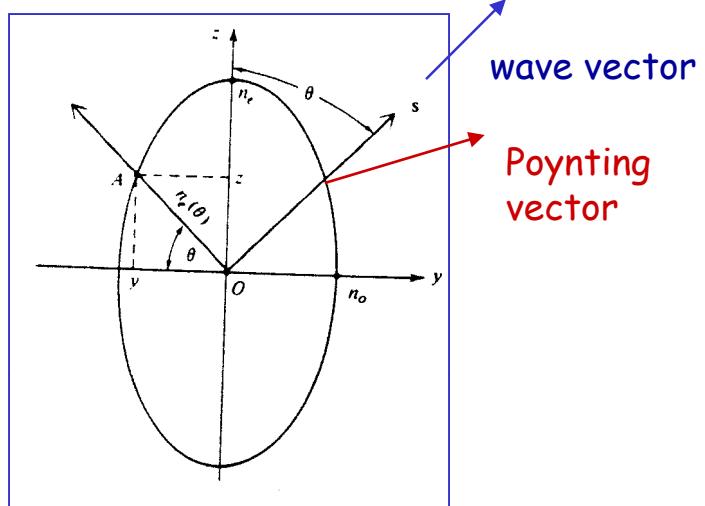
$$n_0^2 = \frac{\epsilon_x}{\epsilon_0} = \frac{\epsilon_y}{\epsilon_0} \quad n_e^2 = \frac{\epsilon_z}{\epsilon_0}$$

Index becomes:

$$\frac{x^2}{n_0^2} + \frac{y^2}{n_0^2} + \frac{z^2}{n_e^2} = 1$$



Birefringent media



Two allowed polarization directions

- one polarized along the x-axis; polarization vector perpendicular to the optic axis *ordinary wave*; it transmits with index n_0 .
- one polarized in the x-y plane but perpendicular to s; polarization vector in the plane with the optic axis is called the *extraordinary wave*.

For an arbitrary angle:

$$x = n_0 \quad y = n_e(\theta)\cos\theta \quad z = n_e(\theta)\sin\theta$$

Projection of the ellipsoid on $x=0$

$$\frac{y^2}{n_0^2} + \frac{z^2}{n_e^2} = 1$$

Insert:

$$\frac{1}{n_e^2(\theta)} = \frac{\cos^2\theta}{n_0^2} + \frac{\sin^2\theta}{n_e^2}$$

So index depends on propagation of wave vector (θ)

Birefringence	$n_e > n_0$	positive
	$n_e < n_0$	negative

Phase matching in Birefringent media

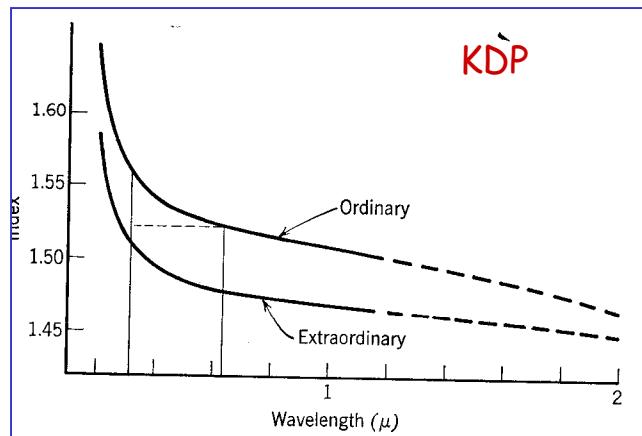
There exists an ordinary wave with

$$n_0$$

And an extra-ordinary wave with

$$n_e(\theta) = \frac{n_e n_o}{\sqrt{n_o^2 \sin^2 \theta + n_e^2 \cos^2 \theta}}$$

Both undergo dispersion



Phase-matching, or $\Delta k=0$ can be reached now; required is

$$n^\omega = n^{2\omega}$$

In case of (for KDP) $n_e < n_0$

$$n_e^{2\omega}(\theta_m) = n_o^\omega$$

Equation to find the phase-matching angle:

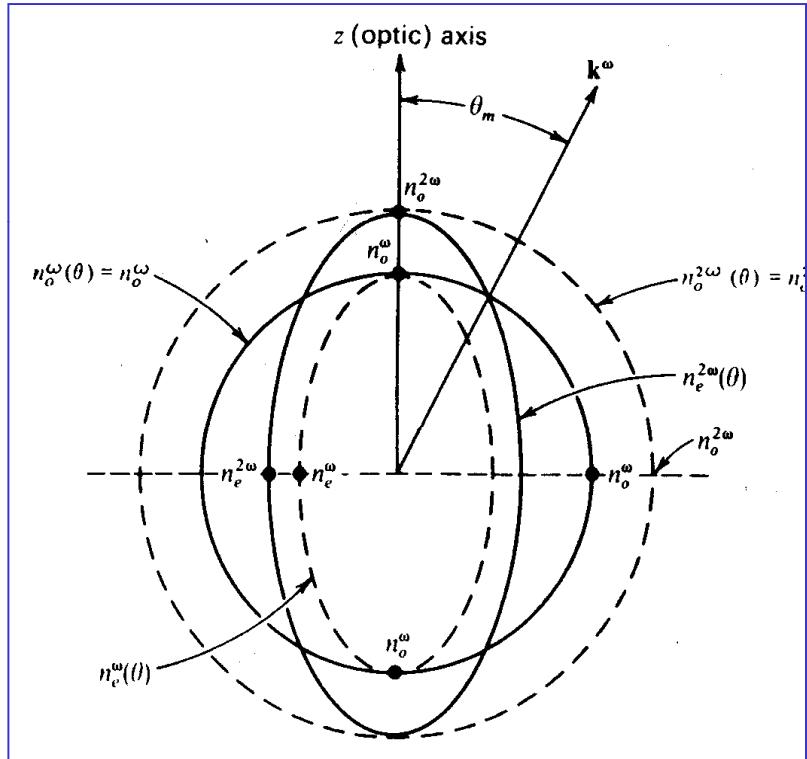
$$n_e^{2\omega}(\theta_m) = \frac{n_e^{2\omega} n_o^{2\omega}}{\sqrt{(n_o^{2\omega})^2 \sin^2 \theta_m + (n_e^{2\omega})^2 \cos^2 \theta_m}}$$

Solve for $\sin \theta$

$$\sin^2 \theta_m = \frac{(n_o^\omega)^{-2} - (n_o^{2\omega})^{-2}}{(n_e^{2\omega})^{-2} - (n_o^{2\omega})^{-2}}$$

Phase matching in Birefringent media

Graphical: index ellipsoid including dispersion



$$\sin^2 \theta_m = \frac{(n_o^\omega)^{-2} - (n_o^{2\omega})^{-2}}{(n_e^{2\omega})^{-2} - (n_o^{2\omega})^{-2}}$$

TYPE I phase matching

$$E_o^\omega + E_o^\omega \rightarrow E_e^{2\omega}$$

$$E_e^\omega + E_e^\omega \rightarrow E_o^{2\omega}$$

negative birefringence
positive birefringence

TYPE II phase matching

$$E_o^\omega + E_e^\omega \rightarrow E_e^{2\omega}$$

$$E_o^\omega + E_e^\omega \rightarrow E_o^{2\omega}$$

negative birefringence
positive birefringence

Type I → polarization of second harmonic
is perpendicular to fundamental
Type II → can be understood as sumfrequency mixing

Phase matching and the "opening angle"

Consider Type I phase-matching and a negatively birefringent crystal.

Phase matching

$$\Delta k = \frac{2\omega}{c} [n_e^{2\omega}(\theta) - n_o^\omega] = 0$$

This works for a certain angle θ_m .

Near this angle a Taylor series

$$\frac{d\Delta k}{d\theta} = \frac{2\omega}{c} \frac{d}{d\theta} [n_e^{2\omega}(\theta) - n_o^\omega] =$$

$$\frac{2\omega}{c} \frac{d}{d\theta} \frac{n_e n_o}{\sqrt{n_o^2 \sin^2 \theta + n_e^2 \cos^2 \theta}} =$$

$$-\frac{\omega}{c} \frac{n_e n_o}{(n_o^2 \sin^2 \theta + n_e^2 \cos^2 \theta)^{3/2}} (n_o^2 - n_e^2) \sin 2\theta$$

$$= -\frac{\omega}{c} \frac{(n_e^{2\omega}(\theta))^3}{n_o^2 n_e^2} (n_o^2 - n_e^2) \sin 2\theta$$

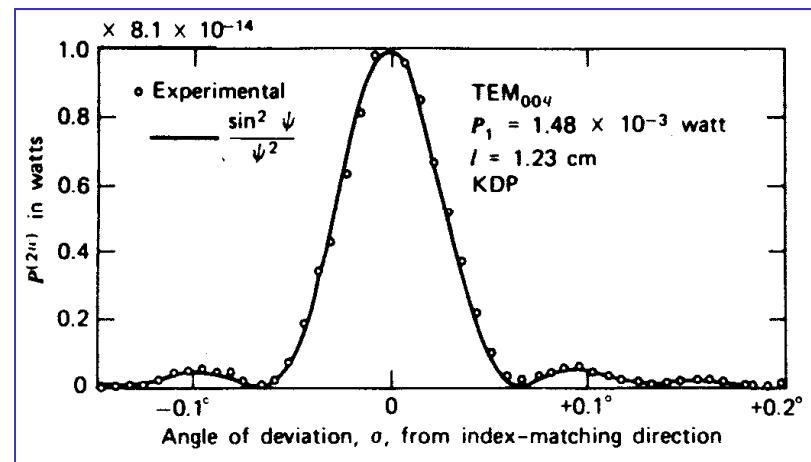
with: $n_e^{2\omega}(\theta) = n_o^\omega$

$$\left| \frac{d\Delta k}{d\theta} \right|_{\theta_m} = -\frac{\omega}{c} n_0^3 (n_e^{-2} - n_o^{-2}) \sin 2\theta_m$$

Spread in k -values relates to spread in $\Delta\theta$

$$\Delta k = \frac{2\beta}{L} \Delta\theta \quad \text{with} \quad \beta \propto \sin 2\theta_m$$

$$P^{(2\omega)}(\theta) \propto \frac{\sin^2 \left[\frac{\Delta k L}{2} \right]}{\left[\frac{\Delta k L}{2} \right]^2} \propto \frac{\sin^2 [\beta (\theta - \theta_m)]}{[\beta (\theta - \theta_m)]^2}$$



Opening angle:

- 1) Interpret as angle - 0.1° - of collimated beam
- 2) As a divergence (convergence) of a laser beam
- 3) As a wavelength spread

$$\frac{\Delta k}{k} = -\frac{\Delta \lambda}{\lambda}$$

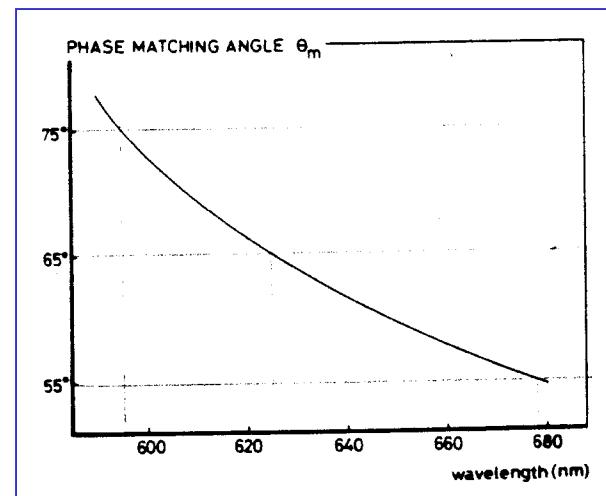
phase matching by angle tuning

For the example of LiIO₃

Dispersion:

A	n_o	n_e
4000	1.948	1.780
4360	1.931	1.766
5000	1.908	1.754
5300	1.901	1.750
5780	1.888	1.742
6900	1.875	1.731
8000	1.868	1.724
10600	1.860	1.719

Calculate phase matching angle



Use dispersion and phase-matching relation:

$$\sin^2 \theta_m = \frac{(n_o^\omega)^{-2} - (n_o^{2\omega})^{-2}}{(n_e^{2\omega})^{-2} - (n_o^{2\omega})^{-2}}$$

Practical issue of limitation:

LiIO₃ starts absorbing at 295 nm

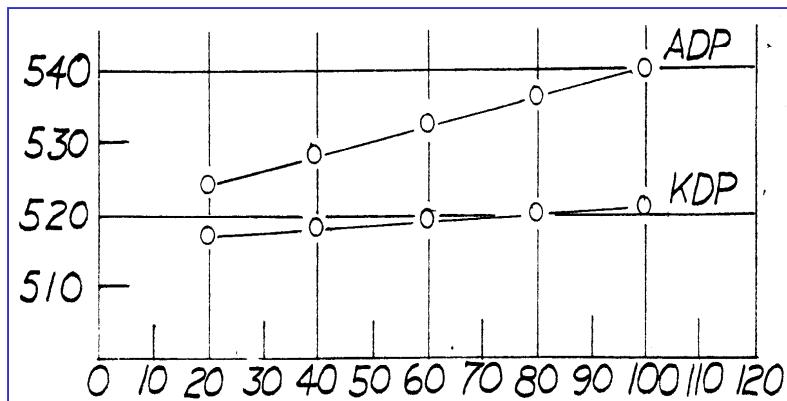
Non-critical phase matching and temperature tuning

Opening angle for wave vectors:

$$\Delta k = \frac{2\beta}{L} \Delta\theta \quad \beta \propto \sin 2\theta_m$$

Best if $\theta_m = 90^\circ$

Calculation of Type I for temperatures



→ Temperature tuning

Advantages of 90° phase matching

- 1) Poynting vector coincides with phase vector so no "walk-off"
- 2) The first order derivative in Taylor expansion

$$\frac{d\Delta k}{d\theta} = -\frac{\omega}{c} \frac{(n_e^{2\omega}(\theta))^3}{n_o^2 n_e^2} (n_o^2 - n_e^2) \sin 2\theta_m$$

$$\longrightarrow 0$$

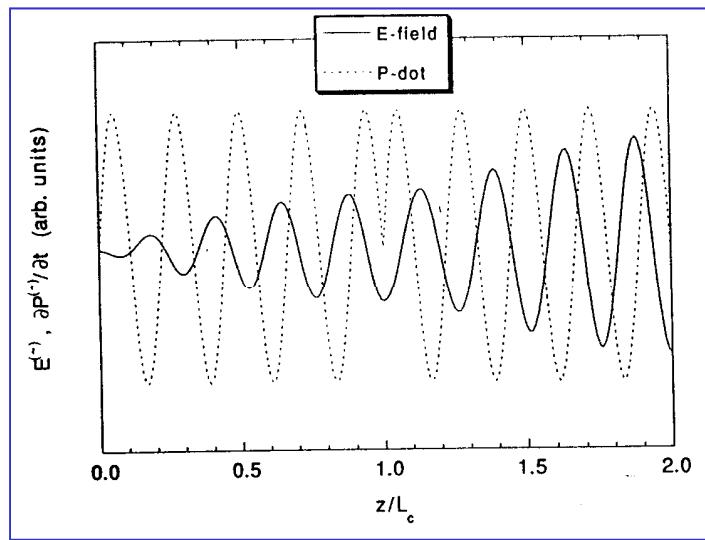
Hence non-critical phase matching:

$$\Delta k \propto (\Delta\theta)^2$$

- 3) In many cases d is larger at $\theta_m=90^\circ$

Quasi phase matching by periodic poling

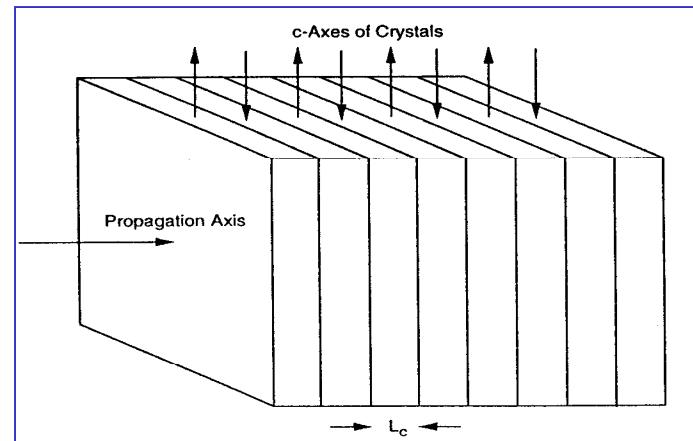
Fundamental and harmonic run out of phase in conversion processes.
→ Coherence length is limited



Stick segments of material together with opposite optical axes- crystal modulation.
Change of sign of polarization in each L_c
→ Coherence "runs back"

Periodic poling

Manufacturing of segments by external fields
During/after growth



Quasi phase matching: analysis

Coupled wave equation, with $\Gamma = i\omega E_1^2 / n_2 c$

$$\frac{d}{dz} E_2 = \Gamma d(z) \exp[-i\Delta k' z]$$

Integrate for second harmonic

$$E_2(L) = \Gamma \int_0^L d(z) \exp[-i\Delta k' z] dz$$

$d(z)$ consists of domains with alternating signs

$$E_2 = \frac{i\Gamma d_{eff}}{\Delta k'} \sum_{k=1}^N g_k [\exp(-i\Delta k' z_k) - \exp(-i\Delta k' z_{k-1})]$$

Sign changes (should) occur at: $e^{-i\Delta k_0'} z_{k,0} = (-1)^k$

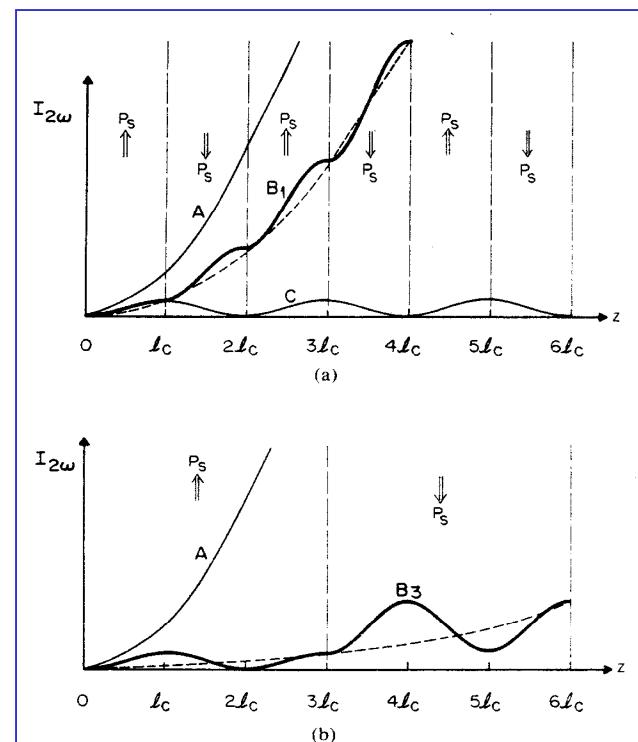
$\Delta k_0'$ wave vector mismatch at design wavelength

For m^{th} order QPM: $z_{k,0} = m k l_c$

$$E_{2,ideal} \approx i\Gamma d_{eff} \frac{2}{m\pi} L$$

$E_2(L) = \Gamma d_{eff} L$ for perfect phase matching

Loss factor: $\frac{2}{m\pi}$



A: perfect phase matching
 C: phase mismatch for non-poling
 B₁: poling at L_c
 B₃: poling after $3 L_c$

Intermezzo

Pump depletion in SHG

In case of high conversion also reverse processes play a role:

$$\omega_1 + \omega_2 \rightarrow \omega_3 \quad \omega_3 - \omega_1 \rightarrow \omega_2$$
$$\omega_3 - \omega_2 \rightarrow \omega_1$$

Define amplitudes and assume no absorption

$$A_i = \frac{\sqrt{n_i}}{\omega_i} E_i \quad \kappa = d \frac{1}{2} \sqrt{\frac{\mu_0}{\epsilon_0}} \sqrt{\frac{\omega_1 \omega_2 \omega_3}{n_1 n_2 n_3}}$$

Then coupled wave equations turn to
Coupled amplitude equations

$$\frac{d}{dz} A_1 = -i \kappa A_3 A_2^* e^{-i \Delta k z}$$
$$\frac{d}{dz} A_2 = +i \kappa A_1 A_3^* e^{i \Delta k z}$$
$$\frac{d}{dz} A_3 = -i \kappa A_1 A_2 e^{i \Delta k z}$$

Assume second harmonic generation $\Delta k = 0$;

no field with A_2 :

field A_1 is degenerate $A_1 A_2 = \frac{1}{2} A_1^2$

Rewrite: $A_3' = -i A_3$

Then:

$$\frac{d}{dz} A_1 = -\kappa A_3' A_1 \quad \frac{d}{dz} A_3' = \frac{1}{2} \kappa A_1^2$$

Calculate:

$$\frac{d}{dz} [A_1^2 + 2(A_3'(z))^2] = 2A_1 \frac{d}{dz} A_1 + 4A_3' \frac{d}{dz} A_3' = 0$$

So in crystal: $A_1^2 + 2(A_3'(z))^2 = \text{constant} = A_1^2(0)$

Consider:

$$I_i = \frac{1}{2} \sqrt{\frac{\mu_0}{\epsilon_0}} n_i |E_i|^2 = \frac{1}{2} \sqrt{\frac{\mu_0}{\epsilon_0}} \omega_i |A_i|^2 \quad I_i \propto N_i \hbar \omega_i$$

Hence: #photons(ω_1) + 2#photons(ω_3) = constant

Energy and photon numbers are conserved

Pump depletion in SHG - 2

Solve amplitude equation

$$\frac{d}{dz} A_3' = -\frac{1}{2} \kappa [A_l^2(0) - 2(A_3')^2] = 0$$

For:

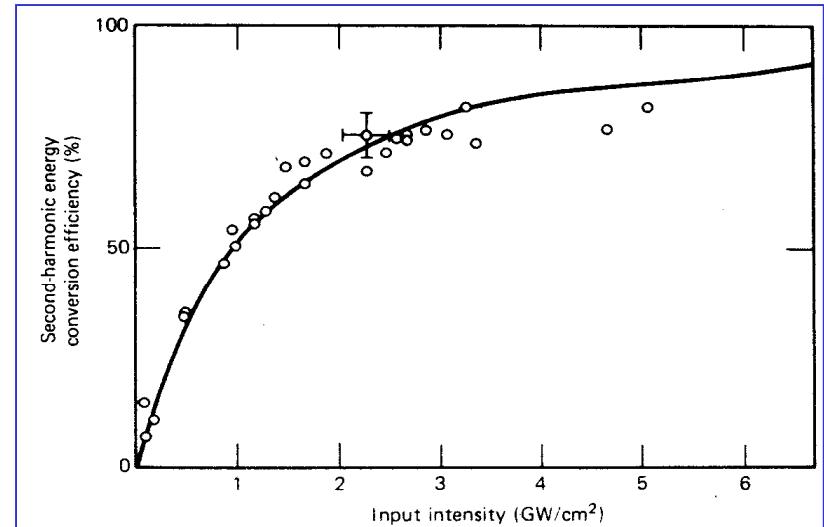
$$A_l(0)\kappa z \rightarrow \infty \quad |A_3'(z)|^2 \rightarrow \frac{1}{2}|A_l(0)|^2$$

Solution:

$$A_3'(z) = \frac{A_l(0)}{\sqrt{1/2}} \tanh \left[\frac{A_l(0)\kappa z}{\sqrt{1/2}} \right]$$

Conversion efficiency

$$\eta_{SHG} = \frac{P^{(2\omega)}}{P^{(\omega)}} = \frac{|A_3(z)|^2}{\frac{1}{2}|A_l(0)|^2} = \tanh^2 \left[\frac{A_l(0)\kappa z}{\sqrt{1/2}} \right]$$



Crystals and properties

Material	Transparency range [nm]	Spectral range of phase matching of type I or II	Damage threshold [GW/cm ²]	Relative doubling efficiency
ADP	220–2000	500–1100	0.5	1.2
KD*P	200–2500	517–1500 (I)	8.4	1.0
		732–1500 (II)		8.4
Urea	210–1400	473–1400 (I)	1.5	6.1
BBO	197–3500	410–3500 (I) 750–1500 (II)	9.9	26.0
LiJO ₃	300–5500	570–5500 (I)	0.06	50.0
KTP	350–4500	1000–2500 (II)	1.0	215.0
LiNbO ₃	400–5000	800–5000 (II)	0.05	105.0
LiB ₃ O ₅	160–2600	550–2600	18.9	3
CdGeAs ₂	1–20 μm	2–15 μm	0.04	9
AgGaSe ₂	3–15 μm	3.1–12.8 μm	0.03	6
Te	3.8–32 μm		0.045	270

ADP = Ammonium dihydrogen phosphate

KDP = Potassium dihydrogen phosphate

KD*P = Potassium dideuterium phosphate

KTP = Potassium titanyl phosphate

KNbO₃ = Potassium niobate

LBO = Lithium triborate

LiIO₃ = Lithium iodate

LiNbO₃ = Lithium niobate

BBO = Beta-barium borate

NH₄H₂PO₄

KH₂PO₄

KD₂PO₄

KTiOPO₄

KNbO₃

LiB₃O₅

LiIO₃

LiNbO₃

β-BaB₂O₄

Lasers

High power fixed wavelength Lasers

Nd-YAG	1064 nm
2 nd	532 nm
3 rd	355 nm
4 th	266 nm
5 th	212 nm

Excimer lasers

KrF	248 nm
XeCl	308 nm
ArF	193 nm

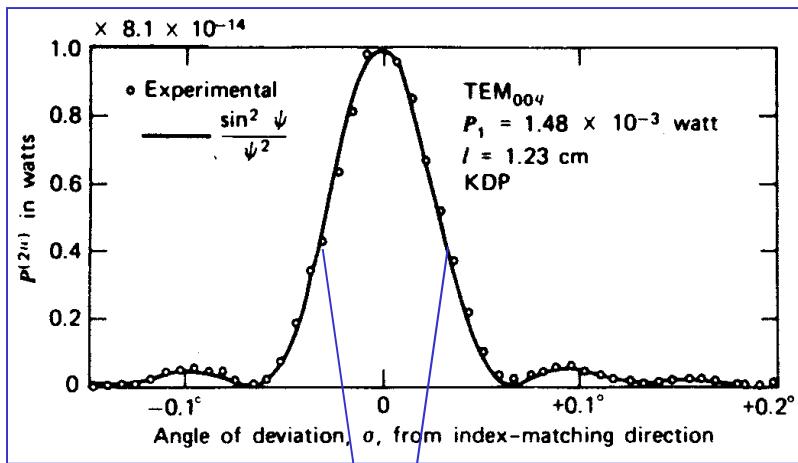
Dye Lasers

Tunable 400–750 nm

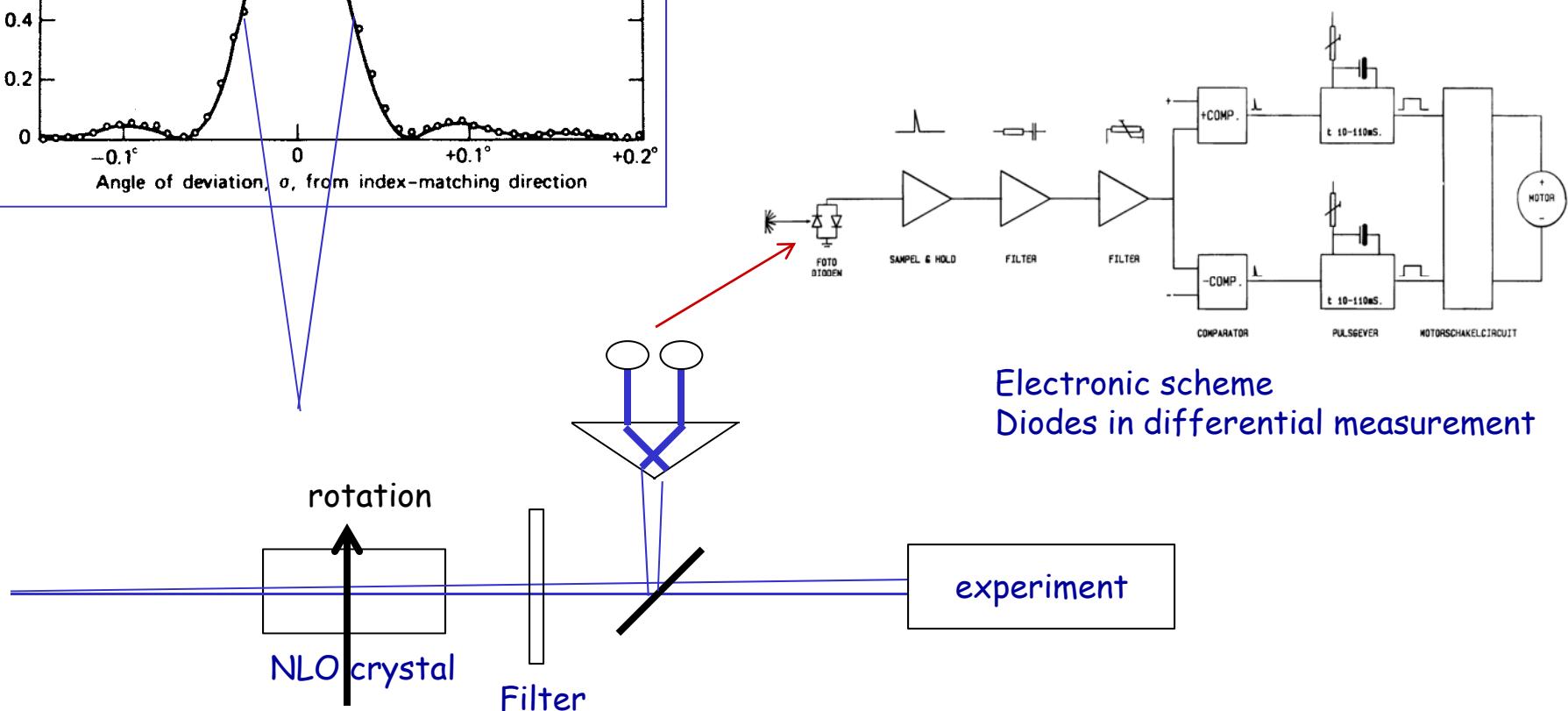
Titanium:Sapphire Lasers

Tunable 760–900 nm

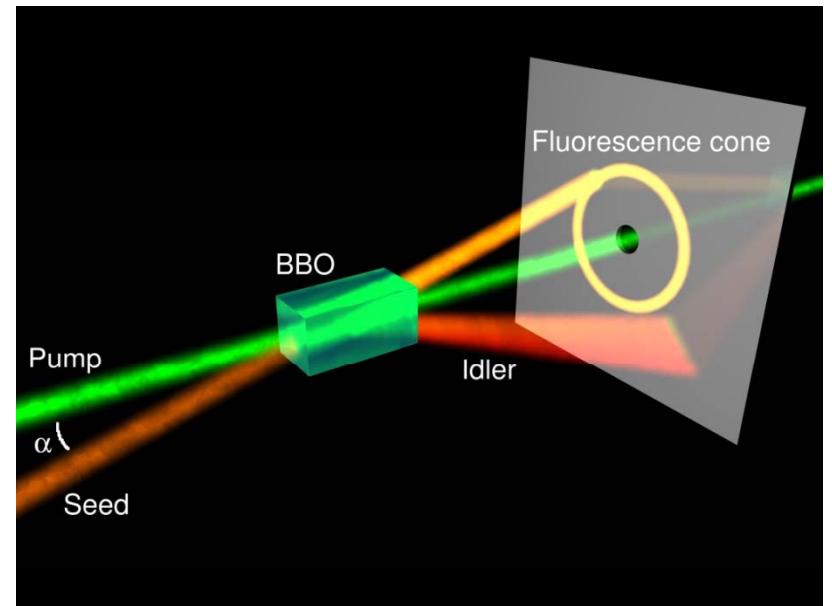
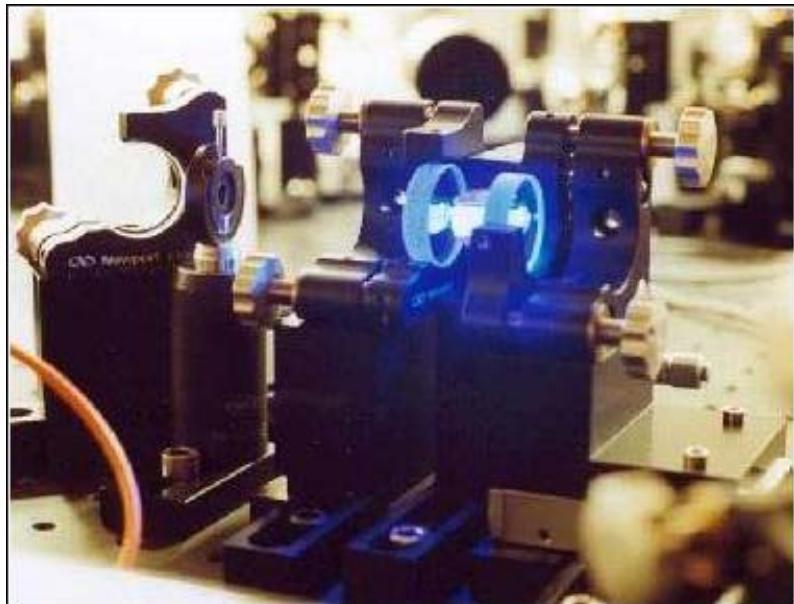
A tracking device for angle tuning based on the opening angle



This curve may be interpreted as SHG as a function of angle



Optical Parametric Oscillation and Amplification



Optical Parametric Amplification

Consider a NLO-process

$$\omega_3 \rightarrow \omega_1 + \omega_2$$

Where a short-wavelength photon (pump) is converted into a photon at ω_1 (signal) and a photon at ω_2 (idler).

Start again from coupled wave equations:

- no absorption
- phase-matched $\Delta k = 0$
- k defined

$$\kappa = d \frac{1}{2} \sqrt{\frac{\mu_0}{\epsilon_0}} \sqrt{\frac{\omega_1 \omega_2 \omega_3}{n_1 n_2 n_3}}$$

This leads to coupled amplitudes

$$\frac{dA_1}{dz} = -\frac{1}{2} i \kappa A_3 A_2^* e^{-i \Delta k z}$$

$$\frac{dA_2^*}{dz} = \frac{1}{2} i \kappa A_1 A_3^* e^{i \Delta k z}$$

Assume no depletion of the pump:

$$A_3(z) = A_3(0)$$

Define: $g = \kappa A_3(0)$

Coupled amplitudes:

$$\frac{dA_1}{dz} = -\frac{1}{2} i g A_2^* \quad \frac{dA_2^*}{dz} = \frac{1}{2} i g A_1$$

Boundary conditions: $A_2(0) = 0$ and $A_1(0) = \text{small}$

$$A_1(z) = A_1(0) \cosh\left(\frac{gz}{2}\right)$$

$$A_2^*(z) = A_1(0) \sinh\left(\frac{gz}{2}\right)$$

Approximation for $gz > 0$

$$|A_1(z)|^2 = |A_2(z)|^2 \propto e^{gz}$$

Both fields grow with gain factor: g
This parametric gain.

Verify that: $-\frac{dA_3 A_3^*}{dz} = \frac{dA_1 A_1^*}{dz} = \frac{dA_2 A_2^*}{dz}$

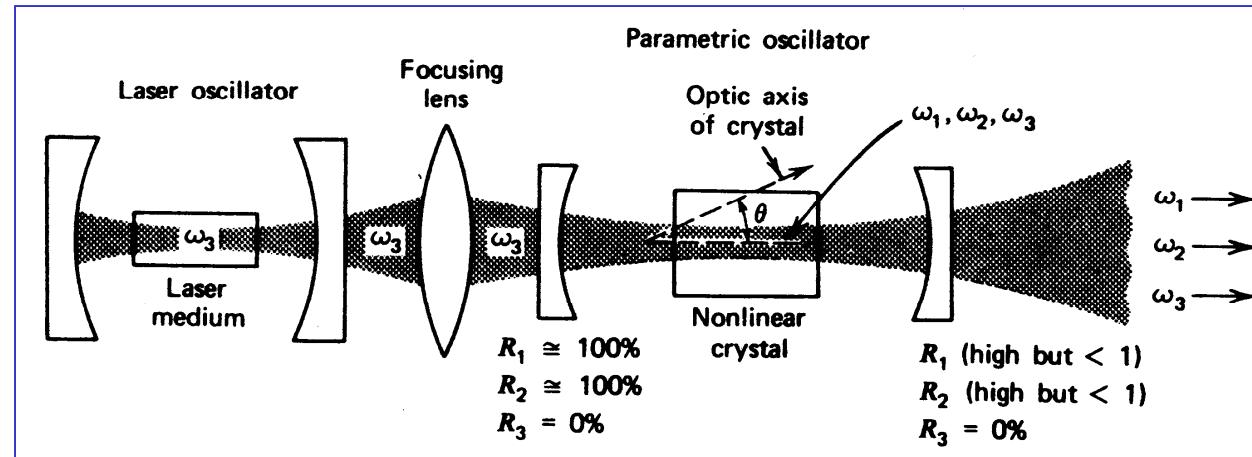
and

$$-\Delta\left(\frac{P_3}{\omega_3}\right) = \Delta\left(\frac{P_1}{\omega_2}\right) = \Delta\left(\frac{P_2}{\omega_1}\right)$$

Manley-Rowe equations

Parametric oscillation

Principle: amplification starts from **noise**, as in laser



Assume again parametric gain with $\Delta k=0$

Steady state condition:

$$\frac{dA_1}{dz} = \frac{dA_2}{dz}$$

Nontrivial solution at threshold if:

$$g^2 = \alpha_1 \alpha_2$$

Retain losses (absorption or mirror losses)

$$-\frac{1}{2}\alpha_1 A_1 - \frac{1}{2}igA_2^* = 0$$

Above threshold if

$$g^2 > \alpha_1 \alpha_2$$

$$\frac{1}{2}igA_1 - \frac{1}{2}\alpha_2 A_2^* = 0$$

Gain > losses

Tuning of an OPO

Parameter is the phase-matching condition:

$$\Delta \vec{k} = 0 \quad \vec{k}_3 = \vec{k}_1 + \vec{k}_2$$

For co-linear beams this equals:

$$n_3 \omega_3 = n_1 \omega_1 + n_2 \omega_2$$

And energy conservation

$$\omega_3 = \omega_1 + \omega_2$$

Phase-matching again in birefringent crystals
e.g., Type I

$$n_3^e(\theta_m) \omega_3 = n_1 \omega_1 + n_2 \omega_2$$

At each specific angle θ_m the OPO will produce a combination of two frequencies ω_1 and ω_2

Rotation of angle near θ_m yields

$$\theta_m \rightarrow \theta_m + \Delta\theta$$

$$n_1 \rightarrow n_1 + \Delta n_1$$

$$n_2 \rightarrow n_2 + \Delta n_2$$

$$n_3 \rightarrow n_3 + \Delta n_3$$

For fixed pump this gives

$$\omega_1 \rightarrow \omega_1 + \Delta\omega_1 \quad \omega_2 \rightarrow \omega_2 + \Delta\omega_2$$

Energy conservation: $\Delta\omega_2 = -\Delta\omega_1$

Index n_3 changes if it is extra-ordinary

$$\Delta n_3 = \left| \frac{\partial n_3}{\partial \theta} \right|_{\theta_m} \Delta\theta \quad \text{angle dependence}$$

$$\Delta n_1 = \left| \frac{\partial n_1}{\partial \omega_1} \right|_{\omega_1} \Delta\omega_1 \quad \text{dispersion}$$

$$\Delta n_2 = \left| \frac{\partial n_2}{\partial \omega_2} \right|_{\omega_2} \Delta\omega_2 \quad \text{dispersion}$$

New phase-matching condition:

$$(n_3 + \Delta n_3) \omega_3 = (n_1 + \Delta n_1)(\omega_1 + \Delta\omega_1) + (n_2 + \Delta n_2)(\omega_2 + \Delta\omega_2)$$

Use: $\Delta\omega_2 = -\Delta\omega_1$ and solve:

$$\Delta\omega_1 = \frac{\omega_3 \Delta n_3 - \omega_1 \Delta n_1 - \omega_2 \Delta n_2}{n_1 - n_2}$$

Tuning of an OPO -2

Then:

$$\Delta\omega_1 = \frac{\omega_3 \left| \frac{\partial n_3}{\partial \theta} \right| \Delta\theta - \omega_1 \left| \frac{\partial n_1}{\partial \omega_1} \right| \Delta\omega_1 + \omega_2 \left| \frac{\partial n_2}{\partial \omega_2} \right| \Delta\omega_1}{n_1 - n_2}$$

Solve for: $\Delta\omega_1$

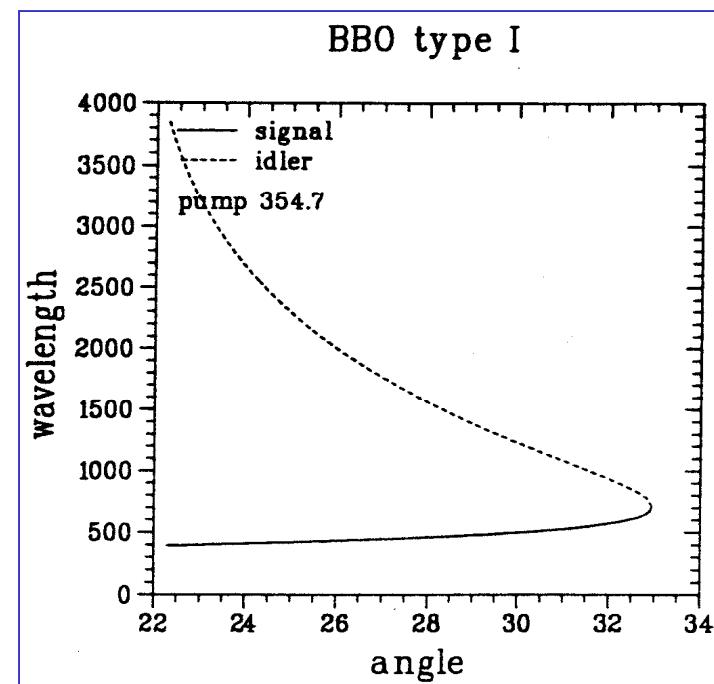
$$\frac{\Delta\omega_1}{\Delta\theta} = \frac{\omega_3 \left| \frac{\partial n_3}{\partial \theta} \right|}{(n_1 - n_2) + \left[\omega_1 \left| \frac{\partial n_1}{\partial \omega_1} \right| - \omega_2 \left| \frac{\partial n_2}{\partial \omega_2} \right| \right]}$$

Use the result for the calculation of the opening angle obtained previously (SHG)

$$\left| \frac{\partial n_3}{\partial \theta} \right|_{\theta_m} = -\frac{1}{2} n_o^3 [n_e^{-2}(\omega_3) - n_o^{-2}(\omega_3)] \sin 2\theta_m$$

This results in the angle tuning function:

$$\frac{\partial\omega_1}{\partial\theta} = \frac{-\frac{1}{2} n_o^3 [n_e^{-2}(\omega_3) - n_o^{-2}(\omega_3)] \omega_3 \sin 2\theta_m}{(n_1 - n_2) + \left[\omega_1 \frac{\partial n_1}{\partial \omega_1} - \omega_2 \frac{\partial n_2}{\partial \omega_2} \right]}$$

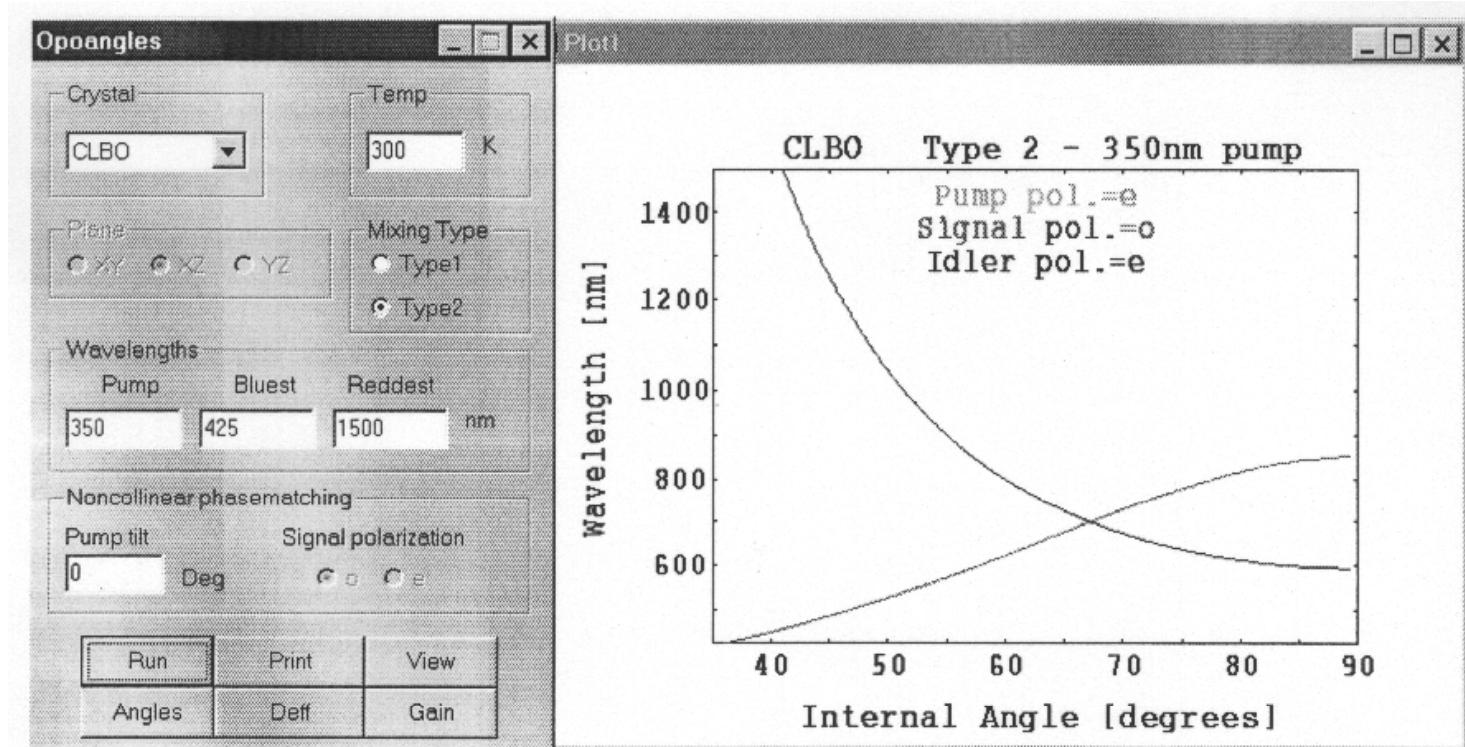


SNLO - Public Domain Software for non-linear optics



SNLO.Ink

<http://www.as-photonics.com/SNLO>



Practical problems

- 1) The $\text{EF}^1\Sigma_g^+$, $v=0$ state in the H_2 molecule can be excited via two-photon excitation. For this pulsed laser radiation at 202 nm is required.
Devise schemes that make this possible using pulsed dye lasers in the visible domain.
Devise a scheme based on a tunable titanium-sapphire laser delivering pulses in the range 780-850 nm.

- 2) The $\text{EF}^1\Sigma_g^+$, $v=6$ state in the H_2 molecule can be excited in two-photon at 193 nm.
Devise a scheme to produce this radiation by taking one of colors from the fixed Nd-YAG laser and its harmonics.