

TITLE

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### Non-collinearity in high energy scattering processes

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### Outline

- Introduction: partons in high energy scattering processes
- (Non-)collinearity: collinear and non-collinear parton correlators
  - OPE, twist
  - Gauge invariance
  - Distribution functions (collinear, TMD)
- Observables
  - Azimuthal asymmetries
  - Time reversal odd phenomena/single spin asymmetries
- Gauge links
  - Resumming multi-gluon interactions: Initial/final states
  - Color flow dependence
- Applications
- Universality: an example  $gq \rightarrow gq$
- Conclusions

# **QCD & Standard Model**

- QCD framework (including electroweak theory) provides the machinery to calculate cross sections, e.g. γ\*q → q, qq → γ\*, γ\* → qq, qq → qq, qg → qg, etc.
- E.g.



• Calculations work for plane waves

$$\left\langle 0 \left| \boldsymbol{\psi}_{i}^{(s)}(\boldsymbol{\xi}) \right| p, s \right\rangle = u_{i}(p, s) e^{-ip.\boldsymbol{\xi}}$$

# Confinement in QCD

• Confinement limits us to hadrons as 'quark sources' or 'targets' (with  $P_X = P - p$ )

$$\left\langle X \left| \psi_{i}^{(s)}(\xi) \right| P \right\rangle e^{+ip.\xi}$$
$$\left\langle X \left| \psi_{i}^{(s)}(\xi) A^{\mu}(\eta) \right| P \right\rangle e^{+i(p-p_{1}).\xi+ip_{1}.\eta}$$

- These involve nucleon states
- At high energies interference terms between different hadrons disappear as  $1/\mathrm{P}_1.\mathrm{P}_2$
- Thus, the theoretical description/calculation involves for hard processes, a forward matrix element of the form

$$\Phi_{ij}(p,P) = \int \frac{d^{3}P_{X}}{(2\pi)^{3}2E_{X}} < P | \bar{\psi}_{j}(0) | X > < X | \psi_{i}(0) | P > \delta(P - P_{X} - p)$$

$$= \frac{1}{(2\pi)^{4}} \int d^{4}\xi e^{i p.\xi} < P | \bar{\psi}_{j}(0) \psi_{i}(\xi) | P >$$
momentum
$$4$$

#### Correlators in high-energy processes

- Look at parton momentum p
- Parton belonging to a particular hadron P:  $p.P \sim M^2$
- For all other momenta K: p.K ~ P.K ~ s ~ Q<sup>2</sup>
- Introduce a generic vector n ~ satisfying P.n = 1, then we have n ~ 1/Q, e.g. n = K/(P.K)

• Up to corrections of order  $M^2/Q^2$  one can perform the  $\sigma$ -integration

$$\Phi_{ij}(x,p_T) = \int d(p.P) \Phi_{ij}(p,P) = \int \frac{d(\xi.P)d^2\xi_T}{(2\pi)^3} e^{ip.\xi} \left\langle P \left| \psi_j^{\dagger}(0)\psi_i(\xi) \right| P \right\rangle_{\xi.n=0}$$

### (calculation of) cross section in DIS



#### Full calculation





### (calculation of) cross section in SIDIS

#### **OPTICAL THEOREM FOR SIDIS**



#### Full calculation











#### Leading partonic structure of hadrons



#### Partonic correlators

The cross section can be expressed in hard squared QCD-amplitudes and distribution and fragmentation functions entering in forward matrix elements of nonlocal combinations of quark and gluon field operators ( $\phi \rightarrow \psi$  or G). These are the (hopefully universal) objects we are after, useful in parametrizations and modelling.

**Distribution functions** 

$$p^{\mu} = x P^{\mu} + p_T^{\mu} + \frac{p \cdot P - x M^2}{P \cdot n} n^{\mu}$$

$$\Phi(x, p_T) = \int \frac{d(\xi.P) d^2 \xi_T}{(2\pi)^3} e^{i p.\xi} \left\langle P \left| \phi^{\dagger}(0) \phi(\xi) \right| P \right\rangle_{\xi.n=0}$$

**Fragmentation functions** 

$$k^{\mu} = \frac{1}{z} K^{\mu} + k_{T}^{\mu} + \frac{k \cdot K - z^{-1} M_{h}^{2}}{K \cdot n} n^{\mu}$$

$$\Delta(z,k_T) = \int \frac{d(\xi,K)d^2\xi_T}{(2\pi)^3} e^{-ik.\xi} \left\langle 0 \left| \phi(0) \right| K, X \right\rangle \left\langle K, X \left| \phi^{\dagger}(\xi) \right| 0 \right\rangle_{\xi,n=0}$$

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` lightcone

#### (non-)collinearity of parton correlators

The cross section can be expressed in hard squared QCD-amplitudes and distribution and fragmentation functions entering in forward matrix elements of nonlocal combinations of quark and gluon field operators ( $\phi \rightarrow \psi$  or G). These are the (hopefully universal) objects we are after, useful in parametrizations and modelling.

#### **Distribution functions**

$$p^{\mu} = x P^{\mu} + p_{T}^{\mu} + \frac{p \cdot P - x M^{2}}{P \cdot n} n^{\mu}$$

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$$\text{Iightfront: } \xi^+ = 0$$
  

$$\Phi(x) = \int d^2 p_T \Phi(x, p_T) = \int \frac{d(\xi \cdot P)}{(2\pi)} e^{ip.\xi} \left\langle P \middle| \phi^{\dagger}(0) \phi(\xi) \middle| P \right\rangle_{\xi.n=\xi_T=0}$$

collinear

$$\Phi = \int dx \ \Phi(x) = \left\langle P \left| \phi^{\dagger}(0) \phi(0) \right| P \right\rangle$$
 Iocal

# Spin and twist expansion

• Local matrix elements in  $\Phi$ 

Operators can be classified via their canonical dimensions and spin (OPE)

• Nonlocal matrix elements in  $\Phi(x)$ 

Parametrized in terms of (collinear) distribution functions f...(x) that involve operators of different spin but with one specific twist t that determines the power of  $(M/Q)^{t-2}$  in observables (cross sections and asymmetries). Moments give local operators.  $M^{(n)} = \int dx \ x^{n-1} f(x)$ 

• Nonlocal matrix elements in  $\Phi(x,p_T)$ 

Parametrized in terms of TMD distribution functions  $f...(x,p_T^2)$  that involve operators of different spin and different twist. The lowest twist determines the operational twist t of the TMD functions and determines the power of  $(M/Q)^{t-2}$  in observables.

Transverse moments give collinear functions.

$$f^{(n)}(x) = \int d^2 p_T \left(\frac{-p_T^2}{2M^2}\right)^n f(x, p_T^2)$$

Spin n:

**Twist t:** 

~ ( $P^{\mu_1}...P^{\mu_n}$  – traces)

dimension – spin

#### Gauge invariance for quark correlators

• Presence of gauge link needed for color gauge invariance

$$U_{[0,\xi]}^{[C]} = \mathscr{P} \exp\left(-ig \int_{0}^{\xi} ds^{\mu} A_{\mu}\right) \qquad \qquad A^{\mu} = A^{+} P^{\mu} + A^{\mu}_{T} + A^{-} n^{\mu}$$

• The gauge link arises from all 'leading' m.e.'s as  $\psi \; A^{\scriptscriptstyle +}...A^{\scriptscriptstyle +} \, \psi$ 

$$\Phi_{ij}^{q}(x;n) = \int \frac{d(\xi.P)}{(2\pi)} e^{ip.\xi} \left\langle P \left| \overline{\psi}_{j}(0) U_{[0,\xi]}^{[n]} \psi_{i}(\xi) \right| P \right\rangle_{\xi.n=\xi_{T}=0} \\ \Phi_{ij}^{q}(x,p_{T};n,C) = \int \frac{d(\xi.P) d^{2}\xi_{T}}{(2\pi)^{3}} e^{ip.\xi} \left\langle P \left| \overline{\psi}_{j}(0) U_{[0,\xi]}^{[C]} \psi_{i}(\xi) \right| P \right\rangle_{\xi.n=0}$$

- Transverse pieces arise from  $A_T^{\alpha} \rightarrow G^{+\alpha} = \partial^+ A^{\alpha} + ...$
- Basic gauge links:





#### Gauge invariance for gluon correlators

$$\Phi_{g}^{\alpha\beta}(x, p_{T}; C, C') = \int \frac{d(\xi.P)d^{2}\xi_{T}}{(2\pi)^{3}} e^{ip.\xi} \left\langle P \left| U_{[\xi,0]}^{[C]} F^{n\alpha}(0) U_{[0,\xi]}^{[C']} F^{n\beta}(\xi) \right| P \right\rangle_{\xi.n=0}$$

- Using 3x3 matrix representation for U, one finds in TMD gluon correlator appearance of two links, possibly with different paths.
- Note that standard field displacement involves C = C'

$$F^{\alpha\beta}(\xi) \to U^{[C]}_{[\eta,\xi]} F^{\alpha\beta}(\xi) U^{[C]}_{[\xi,\eta]}$$

• Basic gauge links  $\Phi_{g}^{[+,+]}$   $\Phi_{g}^{[+,+]}$   $\Phi_{g}^{[+,-]}$   $\Phi_{g}^{[+,-]}$   $\Phi_{g}^{[-,+]}$   $\Phi_{g}^{[-,+]}$   $\Phi_{g}^{[-,+]}$   $\Phi_{g}^{[-,+]}$ 

 $S \approx S_L \frac{P^{\mu}}{M} + S_T^{\mu}$ 

### **Collinear parametrizations**

- Gauge invariant correlators  $\rightarrow$  distribution functions
- Collinear quark correlators (leading part, no n-dependence)

$$\Phi^q(x) = \left(f_1^q(x) + S_L g_1^q(x)\gamma_5 + h_1^q(x)\gamma_5 \mathscr{S}_T\right) \frac{\mathscr{P}}{2}$$

- i.e. massless fermions with momentum distribution  $f_1^{q}(x) = q(x)$ , chiral distribution  $g_1^{q}(x) = \Delta q(x)$  and transverse spin polarization  $h_1^{q}(x) = \delta q(x)$  in a spin  $\frac{1}{2}$  hadron
- Collinear gluon correlators (leading part)

$$\Phi_{g}^{\mu\nu}(x) = \frac{1}{2x} \left( -g_{T}^{\mu\nu} f_{1}^{g}(x) + i S_{L} \mathcal{E}_{T}^{\mu\nu} g_{1}^{g}(x) \right)$$

• i.e. massless gauge bosons with momentum distribution  $f_1^{g}(x) = g(x)$ and polarized distribution  $g_1^{g}(x) = \Delta g(x)$ 

### **TMD** parametrizations

- Gauge invariant correlators  $\rightarrow$  distribution functions
- TMD quark correlators (leading part, unpolarized)

$$\Phi^{q}(x, p_{T}) = \left(f_{1}^{q}(x, p_{T}^{2}) + ih_{1}^{\perp q}(x, p_{T}^{2})\frac{\not p_{T}}{M}\right)\frac{\not p_{T}}{2}$$

TMD correlators  $\Phi_q^{[U]}$  and  $\Phi_g^{[U,U']}$  do depend on gauge links!

- as massless fermions with momentum distribution  $f_1^q(x,p_T)$  and transverse spin polarization  $h_1^{\perp q}(x,p_T)$  in an unpolarized hadron
- The function h<sub>1</sub><sup>⊥q</sup>(x,p<sub>T</sub>) is T-odd!
- TMD gluon correlators (leading part, unpolarized)

$$\Phi_g^{\mu\nu}(x,p_T) = \frac{1}{2x} \left( -g_T^{\mu\nu} f_1^g(x,p_T^2) + \left( \frac{p_T^{\mu} p_T^{\nu} + \frac{1}{2} g_T^{\mu\nu}}{M^2} \right) h_1^{\perp g}(x,p_T^2) \right)$$

• as massless gauge bosons with momentum distribution  $f_1^{g}(x,p_T)$  and  $_{16}^{16}$  linear polarization  $h_1^{\perp g}(x,p_T)$  in an unpolarized hadron

# The quark distributions (in pictures)



need p<sub>T</sub>

 $p_T$ 

$$f_{1}(x, p_{T}^{2}) = \bullet = \mathbb{R} + \mathbb{L}$$

$$= \bullet + \bullet$$

$$\bullet + \bullet$$

#### Results for deep inelastic processes



$$\sigma_{\gamma^*N \to X} = f_1^{N \to q}(x) \otimes \hat{\sigma}_{\gamma^*q \to q}$$
$$\Delta \sigma_{\gamma^* \vec{N} \to X} = g_1^{N \to q}(x) \otimes \Delta \hat{\sigma}_{\gamma^* \vec{q} \to \vec{q}}$$



$$\sigma_{\gamma^*N \to hX} = f_1^{N \to q}(x) \otimes \hat{\sigma}_{\gamma^*q \to q} \otimes D_1^{q \to N}(z)$$

#### Probing intrinsic transverse momenta

- In a hard process one probes quarks and gluons
- Momenta fixed by kinematics (external momenta)

DIS 
$$x = x_B = Q^2 / 2P.q$$
  
SIDIS  $z = z_h = P.K_h / P.q$ 

- Also possible for transverse momenta SIDIS  $q_T = q + x_B P - z_h^{-1} K_h = k_T - p_T$ 
  - 2-particle inclusive hadron-hadron scattering  $q_T = z_1^{-1}K_1 + z_2^{-1}K_2 - x_1P_1 - x_2P_2 = p_{1T} + p_{2T} - k_{1T} - k_{2T}$
- Sensitivity for transverse momenta requires  $\geq 3$  momenta SIDIS:  $\gamma^* + H \rightarrow h + X$ DY:  $H_1 + H_2 \rightarrow \gamma^* + X$   $e+e-: \gamma^* \rightarrow h_1 + h_2 + X$ hadronproduction:  $H_1 + H_2 \rightarrow h_1 + h_2 + X$  19

 $\rightarrow$  h + X (?)



pp-scattering

 $p \approx xP + p_T$ 

 $k \approx z^{-1}P + k_{\tau}$ 

#### Time reversal as discriminator

$$\begin{split} W_{\mu\nu}(q;P,S,P_h,S_h) &= -W_{\mu\nu}(-q;P,S,P_h,S_h) & \text{symmetry structure} \\ W_{\mu\nu}^*(q;P,S,P_h,S_h) &= W_{\nu\mu}(q;P,S,P_h,S_h) & \text{hermiticity} \\ W_{\mu\nu}(q;P,S,P_h,S_h) &= \overline{W}_{\mu\nu}(\overline{q};\overline{P},-\overline{S},\overline{P}_h,-\overline{S}_h) & \text{parity} \\ W_{\mu\nu}^*(q;P,S,P_h,S_h) &= \overline{W}_{\mu\nu}(\overline{q};\overline{P},\overline{S},\overline{P}_h,\overline{S}_h) & \text{time reversal} \\ \hline W_{\mu\nu}(q;P,S,P_h,S_h) &= W_{\nu\mu}(q;P,-S,P_h,-S_h) & \text{combined} \end{split}$$

- If time reversal can be used to restrict observable one has only even spin asymmetries
- If time reversal symmetry cannot be used as a constraint (SIDIS, DY, pp, ...) one can nevertheless connect T-even and T-odd phenomena (since T holds at level of QCD).
- In hard part T is valid up to order  $\alpha_s^2$



Sivers asymmetry

$$\left\langle \frac{|q_T|}{M} \sin(\phi_h^\ell + \phi_S^\ell) \sigma_{\gamma^* N^\uparrow \to \pi X} \right\rangle = h_1^q(x) \otimes \hat{\sigma}_{\gamma^* q^\uparrow \to q^\uparrow} \otimes H_1^{\perp(1)q}(z)$$

Collins asymmetry

$$\left\langle \frac{|q_T|}{M} \sin(\phi_h^{\ell} - \phi_S^{\ell}) \sigma_{\gamma^* N^{\uparrow} \to \pi X} \right\rangle = f_{1T}^{\perp (1)q}(x) \otimes \hat{\sigma}_{\gamma^* q \to q} \otimes D_1^q(z)$$

Function as appearing in parametrization of  $\Phi^{[+]}$ 

# Generic hard processes

- Matrix elements involving parton 1 and additional gluon(s) A<sup>+</sup> = A.n appear at same (leading) order in `twist' expansion and produce link Φ<sup>[U]</sup>(1)
- insertions of gluons collinear with parton 1 are possible at many places
- this leads for correlator Φ(1) to gauge links running to lightcone ± infinity
- SIDIS  $\rightarrow \Phi^{[+]}(1)$
- DY  $\rightarrow \Phi^{[-]}(1)$

C. Bomhof, P.J. Mulders and F. Pijlman, PLB 596 (2004) 277 [hep-ph/0406099]; EPJ C 47 (2006) 147 [hep-ph/0601171]





Link structure for fields in correlator 1

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### Integrating $\Phi^{[\pm]}(x,p_T) \rightarrow \Phi^{[\pm]}(x)$

$$\Phi^{[\pm]}(x, p_T) = \int \frac{d(\xi.P) d^2 \xi_T}{(2\pi)^3} e^{i p.\xi} \left\langle P \left| \psi^{\dagger}(0) U_{[0,\pm\infty]}^n U_{[0_T,\xi_T]}^T U_{[\pm\infty,\xi]}^n \psi(\xi) \right| P \right\rangle_{\xi.n=0}$$

collinear correlator

$$\Phi^{\bigotimes}(x) = \int \frac{d(\xi \cdot P)}{(2\pi)} e^{ip.\xi} \left\langle P \left| \psi^{\dagger}(0) U_{[0,\xi]}^{n} \psi(\xi) \right| P \right\rangle_{\xi \cdot n = \xi_{T} = 0}$$



### Integrating $\Phi^{[\pm]}(\mathbf{x},\mathbf{p}_{\mathsf{T}}) \rightarrow \Phi_{\partial}^{\alpha[\pm]}(\mathbf{x})$

transverse moments

$$\Phi_{\partial}^{\alpha[\pm]}(x) = \int d^2 p_T p_T^{\alpha} \Phi^{[\pm]}(x, p_T)$$

$$\Phi_{\partial}^{\alpha[\pm]}(x) = \int d^2 p_T \int \frac{d(\xi \cdot P) d^2 \xi_T}{(2\pi)^3} e^{ip.\xi} \left\langle P \left| \psi^{\dagger}(0) U_{[0,\pm\infty]}^n i \partial_T^{\alpha} U_{[0_T,\xi_T]}^T U_{[\pm\infty,\xi]}^n \psi(\xi) \right| P \right\rangle_{\xi.n=0}$$





### A 2 $\rightarrow$ 2 hard processes: qq $\rightarrow$ qq



#### **Gluonic poles**

• Thus:  $\Phi^{[U]}(x) = \Phi(x)$ 

$$\Phi_{\partial}^{[\mathsf{U}]\alpha}(\mathsf{x}) = \Phi_{\partial}^{\alpha}(\overset{\sim}{\mathsf{x}}) + \mathsf{C}_{\mathsf{G}}^{[\mathsf{U}]} \pi \Phi_{\mathsf{G}}^{\alpha}(\mathsf{x},\mathsf{x})$$

- Universal gluonic pole m.e. (T-odd for distributions)
- $\pi \Phi_G(x)$  contains the weighted T-odd functions  $h_1^{\perp(1)}(x)$  [Boer-Mulders] and (for transversely polarized hadrons) the function  $f_{1T}^{\perp(1)}(x)$  [Sivers]
- $\widetilde{\Phi}_{\partial}(x)$  contains the T-even functions  $h_{1L}^{\perp(1)}(x)$  and  $g_{1T}^{\perp(1)}(x)$
- For SIDIS/DY links:  $C_{G}^{[\pm]} = \pm 1$
- In other hard processes one encounters different factors:  $C_G^{[\Box+]} = 3, C_G^{[(\Box)+]} = N_c$

Efremov and Teryaev 1982; Qiu and Sterman 1991 Boer, Mulders, Pijlman, NPB 667 (2003) 201 C. Bomhof, P.J. Mulders and F. Pijlman, EPJ C 47 (2006) 147



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A Contraction

Bacchetta, Bomhof, Pijlman, M, PRD 72 (2005) 034030; hep-ph/0505268

 $C_{G}^{[D_3]} = C_{G}^{[D_4]}$ 



Bacchetta, Bomhof, D'Alesio, Bomhof, M, Murgia, PRL2007, hep-ph/0703153

#### Gluonic pole cross sections

 In order to absorb the factors C<sub>G</sub><sup>[U]</sup>, one can define specific hard cross sections for gluonic poles (which will appear with the functions in transverse moments)



Bomhof, Mulders, JHEP 0702 (2007) 029 [hep-ph/0609206]

### examples: $qg \rightarrow q\gamma$ in pp



### Universality (examples $qg \rightarrow qg$ )

Transverse momentum dependent

weighted











### 'Residual' TMDs

- We find that we can work with basic TMD functions  $\Phi^{[\pm]}(x,p_T) + 'junk'$
- The 'junk' constitutes process-dependent residual TMDs

$$\Phi^{[(\Box)(\Box^{\dagger})^{+}]}(x, p_{T}) = \Phi^{[+]} + \left[ \Phi^{[(\Box)(\Box^{\dagger})^{+}]}(x, p_{T}) - \Phi^{[+]}(x, p_{T}) \right]$$

$$\Phi^{[\Box^{+}]}(x, p_{T}) = 2\Phi^{[+]}(x, p_{T}) - \Phi^{[-]}(x, p_{T}) + \delta\Phi^{[\Box^{+}]}(x, p_{T})$$

$$no \ definite \ T-behavior \ definite \ definit$$

• The residuals satisfies  $\delta \Phi_{\partial}(x) = 0$  and  $\pi \delta \Phi_{G}(x,x) = 0$ , i.e. cancelling  $k_{T}$  contributions; moreover they most likely disappear for large  $k_{T}$  35

Bomhof, Mulders, Vogelsang, Yuan, NPB, hep-ph/0709.1390

### Conclusions

- Beyond collinearity many interesting phenomena appear
- For integrated and weighted functions factorization is possible (collinear quark, gluon and gluonic pole m.e.)
- Accounted for by using gluonic pole cross sections (new gauge-invariant combinations of squared hard amplitudes)
- For TMD distribution functions the breaking of universality can be made explicit and be attributed to specific matrix elements
- Many applications in hard processes. Including fragmentation (e.g. polarized Lambda's within jets) even at LHC

#### References: Qiu, Vogelsang, Yuan, hep-ph/0704.1153 Collins, Qiu, hep-ph/0705.2141 Qiu, Vogelsang, Yuan, hep-ph/0706.1196 Meissner, Metz, Goeke, hep-ph/0703176 Collins, Rogers, Stasto, hep-ph/0708.2833 Bomhof, Mulders, hep-ph/0709.1390 Boer, Bomhof, Hwang, Mulders, hep-ph/0709.1087