	Quantitative Fluorescence Spectral Analysis of Protein Denaturation				
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Abstract	This chapter describes a procedure of global analysis of the steady- state spectra measured with different concentrations of the denaturant to quantitatively study protein denaturation. With the help of physicochemical models, relevant spectral parameters that characterize the folding intermediate and thermodynamic parameters that describe a three-state model $N \Leftrightarrow I \Leftrightarrow U$ can be estimated.				
Key words (separated by "-")	Global analysis - Protein denaturation - Singular value decomposition - Steady-state fluorescence				

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Chapter 3

Quantitative Fluorescence Spectral Analysis of Protein Denaturation

Ivo H.M. van Stokkum and Sergey P. Laptenok

Abstract

This chapter describes a procedure of global analysis of the steady-state spectra measured with different 6 concentrations of the denaturant to quantitatively study protein denaturation. With the help of physico-7 chemical models, relevant spectral parameters that characterize the folding intermediate and thermody-8 namic parameters that describe a three-state model $N \Leftrightarrow I \Leftrightarrow U$ can be estimated. 9

Key words Global analysis, Protein denaturation, Singular value decomposition, Steady-state 10 fluorescence 11

1 Introduction

During denaturant-induced equilibrium (un)folding of a particular 13 protein, a molten globule-like folding intermediate is formed [1]. 14 Here we describe how the steady-state fluorescence spectrum mon-15 itored as a function of the denaturant concentration can be used to 16 infer the properties of the folding intermediate with the help of 17 global analysis [2]. 18

2 Materials

Materials, Protein Expression, and Purification. All chemicals used 20 were of the highest purity available. The concentration guanidine 21 hydrochloride (GuHCl) was determined by measuring the refrac- 22 tive index of the sample used, as described previously [3]. A variant 23 of apoflavodoxin from *Azotobacter vinelandii*, which contains two 24 tryptophan residues (i.e., W74-W128-F167 (WWF)), was obtained 25 and purified as described [3]. In all experiments protein concentra- 26 tion was 4 μ M in 100-mM potassium pyrophosphate buffer, 27 pH = 6.0. Temperature was set to 25 °C. 28

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Steady-State Fluorescence Spectra. Steady-state fluorescence spectra 29 were obtained with a Fluorolog 3.2.2 spectrofluorometer (Horiba, 30 Jobin Yvon, Optilas, Alphen aan den Rijn, the Netherlands), as 31 described previously [3]. The excitation wavelength was 300 nm, 32 excitation and emission slit widths were 2 nm, and emission spectra 33 were recorded between 305 and 400 nm with 1-nm steps. All 34 spectra were corrected for wavelength-dependent instrumental 35 response characteristics. Background fluorescence emission was 36 measured under the same circumstances, except that now no pro-37 tein is present in the samples, and was subsequently subtracted from 38 the corresponding fluorescence spectra of samples with protein. 39

3 Methods

3.1 Determination of the Number of Components Contributing to the Steady-State Fluorescence Spectra

3.2 Global Analysis of the Steady-State Fluorescence Spectra with the Help of a Spectral Model

The steady-state spectra measured at n_d denaturant concentrations 41 can be collated in a matrix Ψ where a column of the $n_{\lambda} \times n_{d}$ matrix 42 Ψ contains a spectrum measured at n_{λ} wavelengths at a particular 43 denaturant concentration, whereas a row contains the emission 44 measured at a particular wavelength at n_d denaturant concentra-45 tions. The rank of this matrix Ψ can be estimated with the help of 46 the singular value decomposition (SVD) [4–11] (see Note 1). 47

Figure 1 depicts the results from the singular value decomposi-48 tion (SVD) analysis of the data matrix Ψ obtained from denaturant-49 induced unfolding of WWF apoflavodoxin. The scree plot of the 50 singular values (Fig. 1b) shows a kink after i = 3 indicating the 51 presence of at least three significant components [12]. The first 52 three LSVs and RSVs (black, red, and blue in Fig. 1c, d) show clear 53 structure. The fourth LSV and RSV (light green) are noise-like 54 traces. In conclusion, SVD indicates that three species are present 55 in the data matrix. There are several methods to resolve these 56 species; most well known are soft modeling (e.g., Multivariate 57 Curve Resolution (MCR) [13–15]) and hard modeling with the 58 help of models that incorporate physicochemical knowledge and 59 aim for the estimation of parameters that are physicochemically 60 interpretable. The latter approach is termed global analysis [11, 61 16]. Advantages of hard over soft modeling have been demon-62 strated [13, 17]. A prerequisite for global analysis (see Note 2) is 63 the availability of suitable physicochemical models. 64

At each GuHCl denaturant concentration, the observed emission 66 spectrum $\psi(\lambda)$ is described as a linear combination of spectra arising 67 from native protein (n), folding intermediate (i), and unfolded 68 protein (u): 69

$$\psi(\lambda) = c_{n}f_{n}(\lambda) + c_{i}f_{i}(\lambda) + c_{u}f_{u}(\lambda)$$
(1)

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Fig. 1 Results from the singular value decomposition (SVD) analysis of the data matrix collated from steadystate fluorescence data obtained from denaturant-induced unfolding of WWF apoflavodoxin. (a) Denaturation trajectory of steady-state fluorescence spectra obtained at increasing concentrations of denaturant. (b) Scree plot of the singular values shows a kink after i = 3 indicating the presence of at least three significant components. (c) The first four left singular vectors (LSVs) (colored *black, red, blue,* and *light green,* respectively). (d) The accompanying first four right singular vectors (RSVs)

Steady-state fluorescence spectra obtained of protein at 0 and 70 4.72 M GuHCl are used as reference spectra that characterize the 71 native and unfolded protein, respectively. The steady-state fluores- 72 cence spectrum of the folding intermediate was modeled as 73 a skewed Gaussian in the energy domain ($\bar{p} = 1/\lambda$) and is described 74 by three rameters: peak location \bar{p}_{max} , width $\Delta \bar{p}$, and skewness 75 b [16, 18]: 76

$$f_i(\bar{\nu}) = \bar{\nu}^5 \exp(-\ln(2)\{\ln(1+2b(\bar{\nu}-\bar{\nu}_{\rm max})/\Delta\bar{\nu})/b\}^2) \qquad (2)$$

where the parameter $\bar{\nu}_{max}$ is the Franck-Condon wave number of 77 maximum emission. The full width at half maximum (FWHM) is 78 given by $\Delta \bar{\nu}_{1/2} = \Delta \bar{\nu} \sinh(b)/b$ (see Note 3). 79

Now all spectra are globally analyzed as a linear combination of 80 spectra arising from native protein, folding intermediate, and 81 unfolded protein. The three parameters that describe the shape of 82

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Fig. 2 Global analysis of steady-state fluorescence data matrix with the help of a spectral model. (a) Steadystate fluorescence spectra of WWF apoflavodoxin in 0 M GuHCl (native protein, *black*) and in 4.72 M GuHCl (unfolded protein, *blue*), respectively. The steady-state fluorescence spectrum of the folding intermediate (*red*) is modeled as a skewed Gaussian and is estimated from the global analysis of all unfolding data. (b) Estimated concentrations of the different folding species (colored *black*, *red*, and *blue*) as a function of denaturant concentration. The sum of the three concentrations is shown as a thin *light green line* (c, d) Results from the singular value decomposition of the residual matrix. (c) The firs

the spectrum of the folding intermediate and the concentrations of each folding species are the unknown parameters that need to be estimated from a global fit of all data. The $n_{\lambda} \times n_{\rm d}$ matrix Ψ can be written as a matrix product. 86

$$\Psi = F(\bar{\nu}_{\max}, \Delta \bar{\nu}, b) C^{\mathrm{T}}$$
(3)

where the *F* matrix contains three columns $\left[f_n f_i(\bar{\nu}_{max}, \Delta \bar{\nu}, b) f_u\right]$ 87 and n_{λ} rows and the C matrix contains three columns $\left[c_{n}c_{i}c_{u}\right]$ 88 and n_d rows. The rank of the C, F, or Ψ matrix is three, consistent 89 with the SVD. The concentration parameters were constrained to 90 be nonnegative (see Note 4). The estimated spectrum of the folding 91 intermediate is depicted in red in Fig. 2a. Note that is blue shifted 92 relative to that of the unfolded protein (blue). The estimated 93 spectral parameters are $\bar{\nu}_{max} = 29,290 \pm 40/cm, \Delta \bar{\nu} = 4,830 \pm 30,$ 94 and $b = -0.209 \pm 0.006$. The root mean square error of the fit was 95 0.41 % of the maximum of the data. The matrix of residuals resulting 96 from the global analysis can best be diagnosed with the help of its 97 SVD. Shortcomings of the model used show up as trends in the most 98 important left or right singular vectors. No such trends are present in 99 the first (black) and second (red) LSV or RSV (Fig. 2c, d). Therefore, 100 the fit can be accepted. 101

In this way the product $c_i f_i$ can be estimated, and thus, we can 102 determine the shape of c_i as a function of the GuHCl denaturant 103 concentration. In order to estimate the concentration c_i relative to 104 the other concentrations, we use the constraint that the sum 105 $c_n + c_i + c_u$ should be close to one at all GuHCl concentrations. 106 This is estimated by means of a subsequent linear regression. 107 All estimated concentrations are depicted in Fig. 2b, as well as 108 this sum $c_n + c_i + c_u$ (depicted in light green). The small deviations 109 of this sum from one are considered acceptable. 110

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As shown by Bollen et al. [1], denaturant-induced equilibrium 112 unfolding of apoflavodoxin is described by a three-state model: 113 N \Leftrightarrow I \Leftrightarrow U, in which N represents native, U represents unfolded 114 molecules, and I is a folding intermediate. Consequently, the two 115 corresponding equilibrium constants (i.e., K_{IN} and K_{UI}) and assoite ciated free energy differences (i.e., ΔG_{IN}^0 and ΔG_{UN}^0) are 117

$$K_{\rm IN} = \frac{[{\rm N}]}{[{\rm I}]} = exp[-(\Delta G_{\rm IN}^0 + m_{\rm IN} \times [{\rm D}])/0.59]$$

$$K_{\rm UI} = \frac{[{\rm I}]}{[{\rm U}]} = exp[-(\Delta G_{\rm UI}^0 + m_{\rm UI} \times [{\rm D}])/0.59]$$
(4)

where $m_{\rm IN}$ and $m_{\rm UI}$ describe the denaturant dependence of $\Delta G_{\rm IN}^0$ 118 and $\Delta G_{\rm UI}^0$. The number 0.59 in this equation equals the gas con- 119 stant *R* times temperature *T* (=298 K) and is in kcal/mol. The 120 fractional populations of each folding state ($c_{\rm U}$, $c_{\rm I}$, $c_{\rm N}$) follow from 121

$$c_{\rm U} = \frac{1}{1 + K_{\rm UI} + K_{\rm IN} \times K_{\rm UI}}$$

$$c_{\rm I} = \frac{K_{\rm UI}}{1 + K_{\rm UI} + K_{\rm IN} \times K_{\rm UI}}$$

$$c_{\rm N} = \frac{K_{\rm IN} \times K_{\rm UI}}{1 + K_{\rm UI} + K_{\rm IN} \times K_{\rm UI}}$$
(5)

Global analysis yielded the fractional populations (Fig. 2b) of 122 the folding species at a particular denaturant concentration, and 123 these fractions were subsequently used to estimate ΔG_{IN}^0 , m_{IN} , 124 ΔG_{UI}^0 , and m_{UI} .

A least absolute values (LAV, *see* **Note 5**) approach was used 126 during global analysis, because this approach is more robust against 127 outliers than the least squares (LS) method (*see* **Note 6**). The LAV 128 criterion that is minimized as a function of the thermodynamic 129 parameters is 130

$$\min\left(\sum_{i} \left|c_{\mathrm{U}^{*}}^{i} - c_{\mathrm{U}}^{i}\right| + \left|c_{\mathrm{I}^{*}}^{i} - c_{\mathrm{I}}^{i}\right| + \left|c_{\mathrm{N}^{*}}^{i} - c_{\mathrm{N}}^{i}\right|\right)$$
(6)

3.3 Global Analysis of the Fractions of the Different Folding Species with the Help of a Thermodynamic Model

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Fig. 3 Global analysis of the fractions of the different folding species with the help of a thermodynamic model. Symbols indicate relative concentrations of the three species (colored *black*, *red*, and *blue*) as a function of the denaturant concentration estimated in Fig. 2b (*diamonds*: native; *squares*: intermediate; *triangles*: unfolded). *Solid lines* depict global LAV fit

t.1 Table 1 Thermodynamic parameters estimated from GuHCI-induced equilibrium unfolding of WWF apoflavodoxin (*see* Note 8)

t.2 t.3	Criterion	ΔG_{UI}^0 (kcal/mol)	m_{UI})/ (kcal/mol M ⁻¹)	$\Delta G_{\rm IN}^0$ (kcal/mol)	m _{iN} (kcal/mol M ^{−1})	$\Delta G_{\rm UN}^0$ (kcal/mol)	m _{un} (kcal/mol M ⁻¹)
t.4	LAV	-2.9	1.5	-3.3	3.6	-6.2	5.1
t.5	LS	-3.0	1.5	-3.1	3.4	-6.1	4.9

where $c_{\rm U}$, $c_{\rm I}$, and $c_{\rm N}$ are calculated with Eq. 5 and $c_{\rm U^*}$, $c_{\rm I^*}$, and $c_{\rm N^*}$ are 131 the *normalized* concentrations (see Note 7) from the global analysis 132 (Fig. 2b), with *i* the summation index, which corresponds to the 133 different concentrations of denaturant used. The results from the 134 global analysis of the fractions of the different folding species, with 135 the help of a thermodynamic model, are depicted in Fig. 3. The fit is 136 considered satisfactory. The thermodynamic parameters estimated 137 with LS or LAV listed in Table 1 are well interpretable [2]. The 138 difference in the estimated values between the LAV and LS criteria 139 is within 10 % relative precision and thus not significant. This is no 140 surprise, since there are no large outliers here. However, in general 141 it is advisable to use the LAV method [19, 20] when available. 142

4 Notes

- 1. The singular value decomposition (SVD) is a model-free matrix 145 factorization technique, which decomposes the data into a sum 146 of orthonormal vector products scaled by singular values. 147 Here, the left singular vector (LSV) represents spectral dimen-148 sion and the right singular vector (RSV) represent denaturant 149 concentration dimension. The contribution to the data is the 150 product of the *n*th left singular vector and right singular vector 151 scaled by the nth singular value. The singular vectors are 152 ordered based on their contribution to the data as represented 153 by the magnitude of the singular values as shown in the scree 154 plot. The ordinate of this scree plot is logarithmic. Ideally, the 155 transition between data and noise appears as a kink in the scree 156 plot. The technique can be used to explore the number of 157 independent components in the data matrix, which is an impor-158 tant aspect of defining an initial model. 159
- 2. Public domain software for global analysis is available [21, 22]. 160
- 3. Note that the expression for the skewed Gaussian contains 161 a term $\ln(1 + 2b(\bar{\nu} - \bar{\nu}_{max})/\Delta\bar{\nu})/b$ for which a limit exists 162 when skewness parameter *b* approaches zero. Since $\lim_{b\to 0}$ 163 $\ln(1 + bx)/b = x$ the expression simplifies to $f_i(\bar{\nu}) = \bar{\nu}^5$ 164 $\exp(-\ln(2)\{2(\bar{\nu} - \bar{\nu}_{max})/\Delta\bar{\nu})\}^2)$ which is a normal Gaussian 165 with FWHM $\Delta\bar{\nu}$. In practical computations with nonzero *b*, 166 the argument of the natural logarithm has to be tested first. If it 167 is positive, the amplitude $f_i(\bar{\nu})$ can be computed, else $f_i(\bar{\nu}) \equiv 0$. 168

For the actual computation of $f_i(\bar{\nu})$ at a particular wavelength λ , one substitutes $\bar{\nu} = 1/\lambda$ in Eq. 2. The conversion from 171 wavelength to wave number [23], $f(\bar{\nu}) = \lambda^2 f(\lambda)$, is already 172 taken into account in Eq. 2 [11].

- 4. There are several ways to ensure nonnegativity of the concen- 174 tration parameters. Firstly, one can use unconstrained least 175 squares and estimate the nonlinear spectral shape parameters 176 (peak location $\bar{\nu}_{max}$, width $\Delta \bar{\nu}$, and skewness b) and the matrix 177 of conditionally linear parameters C with the help of the vari-178 able projection algorithm [16, 24]. When some of the esti-179 mated concentration parameters become negative, they can be 180 constrained to zero, which means that at that denaturant con-181 centration a certain component does not contribute. After 182 imposing the constraint, the data have to be refitted. This 183 process can be automated with the help of the nonnegative 184 least squares algorithm [25] in combination with the variable 185 projection algorithm [26]. 186
- Least absolute values minimization can most easily be done using 187 the Excel Solver function or with dedicated algorithms [27]. 188

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- 6. Outliers are often present with this small number (typically 189 15–25 denaturant concentrations) of data points. A disadvan-190 tage of LAV analysis is that it does not report standard errors. An estimate of the relative standard errors is 10 %.
- 7. The small deviations of the sum $c_n + c_i + c_u$ from 1 (indicated 193 in light green in Fig. 2b) that were present are removed when 194 dividing by this sum. The normalized concentrations are 195 defined as $c_{N^*} = c_n/(c_n + c_i + c_u)$, $c_{I^*} = c_i/(c_n + c_i + c_u)$, and 196 $c_{U^*} = c_u/(c_n + c_i + c_u)$ at each denaturant concentration. 197
- 8. Note that ΔG_{UN}^0 and m_{UN} which describe the thermodynamic 198 stability against unfolding have been computed using 199 $\Delta G_{\text{UN}}^0 = \Delta G_{\text{UI}}^0 + \Delta G_{\text{IN}}^0$ and $m_{\text{UN}} = m_{\text{UI}} + m_{\text{IN}}$. 200

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