

# A simple artificial light-harvesting dyad as a model for excess energy dissipation in oxygenic photosynthesis

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Under excess illumination, plant photosystem II dissipates excess energy through the quenching of chlorophyll fluorescence, a process known as nonphotochemical quenching. Activation of nonphotochemical quenching has been linked to the conversion of a carotenoid with a conjugation length of nine double bonds (violaxanthin) into an 11-double-bond carotenoid (zeaxanthin). It has been suggested that the increase in the conjugation length turns the carotenoid from a nonquencher into a quencher of chlorophyll singlet excited states, but unequivocal evidence is lacking. Here, we present a transient absorption spectroscopic study on a model system made up of a zinc phthalocyanine (Pc) molecule covalently linked to carotenoids with 9, 10, or 11 conjugated carbon–carbon double bonds. We show that a carotenoid can act as an acceptor of Pc excitation energy, thereby shortening its singlet excited-state lifetime. The conjugation length of the carotenoid is critical to the quenching process. Remarkably, the addition of only one double bond can turn the carotenoid from a nonquencher into a very strong quencher. By studying the solvent polarity dependence of the quenching using target analysis of the time-resolved data, we show that the quenching proceeds through energy transfer from the excited Pc to the optically forbidden S<sub>1</sub> state of the carotenoid, coupled to an intramolecular charge-transfer state. The mechanism for excess energy dissipation in photosystem II is discussed in view of the insights obtained on this simple model system.

artificial photosynthesis | carotenoid | nonphotochemical quenching | photoprotection | xanthophyll cycle

The ubiquity of carotenoids in nature reflects the crucial roles they play. In photosynthesis, carotenoids act mainly as light harvesters and photoprotectors. They absorb light in the blue-green region, where chlorophylls (Chls) display little absorption and transfer the energy to neighboring Chls (1, 2), thus increasing the absorption cross section for photosynthesis. Their role in the photoprotection of the photosynthetic apparatus is of vital importance; carotenoids can both scavenge injurious singlet oxygen and prevent its sensitization by quenching the Chl triplet state (3). Another crucial function of carotenoids, extensively studied yet poorly understood at the molecular level, is their role in the quenching of Chl singlet excited states in photosystem II (PSII) under excess illumination (4–7). This process, known as nonphotochemical quenching, allows the plant to adapt to different light levels by preventing photoinduced damage (8). Feedback deexcitation is the main component of nonphotochemical quenching (7, 9). Its activation and regulation is linked to an enzymatic, rapidly reversible process known as the xanthophyll cycle. Under high light illumination a drop in the thylakoid lumen pH triggers the cycle: violaxanthin, a 9-double-bond carotenoid, is converted into zeaxanthin, an 11-double-bond carotenoid (7). As the intensity of light decreases, or under conditions of dim light,

the process is reversed. Besides activating the xanthophyll cycle, the drop in luminal pH leads to protonation of PsbS (10), a PSII subunit thought to have a central role in feedback deexcitation (11).

The question of whether zeaxanthin plays a direct role in the quenching process remains unsettled. It has been proposed that expanding the conjugation length from 9 to 11 double bonds brings the energy level of the carotenoid S<sub>1</sub> state below that of the Q<sub>y</sub> state of Chl, rendering the carotenoid capable of quenching the Chl excited state by energy transfer (12). The expansion of the conjugation length would lower the first oxidation potential of the carotenoid as well (13, 14), and thus quenching could also proceed by electron transfer (5, 12, 15, 16). Alternatively, it was suggested that structural differences between violaxanthin and zeaxanthin change the way the carotenoid interacts with the surrounding pigment–protein complex, leading to a change in the pigment organization that induces quenching (4, 5, 17). Even with the emergence of new crystallographic structures of the PSII antenna (18, 19), the role of zeaxanthin in nonphotochemical quenching remains unresolved.

Artificial light-harvesting antennae are used for the study of light harvesting, energy transfer, electron transfer, and photoprotective functions of carotenoids (20, 21). These minimalist constructs are capable of performing the specific functions carried out by their natural counterparts; their simplicity allows one to establish the basic photophysical and photochemical mechanisms underlying the behavior of the natural systems. Here, we present results from transient absorption spectroscopy on dyads consisting of a zinc phthalocyanine (Pc), acting as a mimic for Chl *a*, covalently linked to a series of carotenoids having 9, 10, or 11 conjugated carbon–carbon double bonds. These dyads have previously been shown to exhibit an efficient carotenoid-to-Pc light-harvesting function (22). We define the conditions under which a carotenoid molecule quenches the excited state of a Pc-based tetrapyrrole, identify the quenching mechanism, and determine to what extent the quenching depends on the conjugation length. The implications for the mechanism of excess energy dissipation in PSII are discussed.

## Results

The structures of dyads 1, 2, and 3, are shown in Fig. 1 *Upper*, and their absorption spectra along with that of model Pc 4 dissolved

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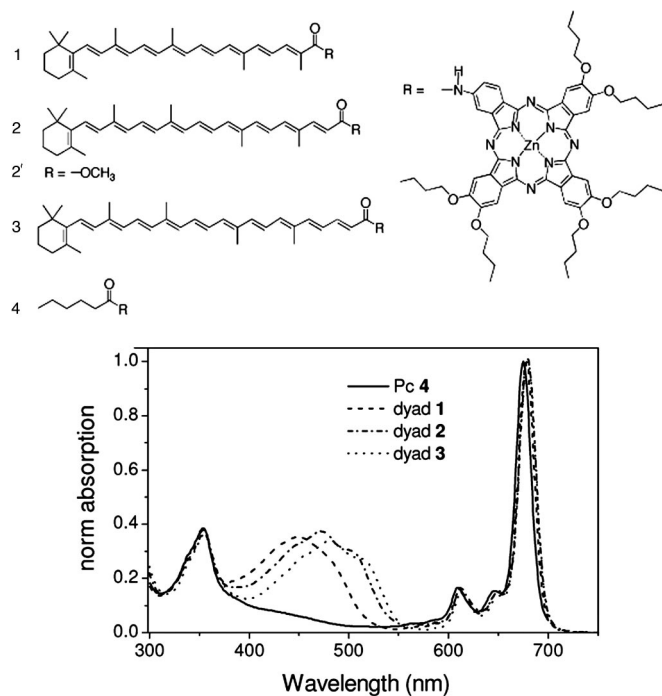
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Abbreviations: EADS, evolution-associated difference spectrum; SADS, species-associated difference spectrum; Pc, phthalocyanine; ICT intramolecular charge transfer; Chl, chlorophyll; PSII, photosystem II; THF, tetrahydrofuran; LHC, light-harvesting complex.

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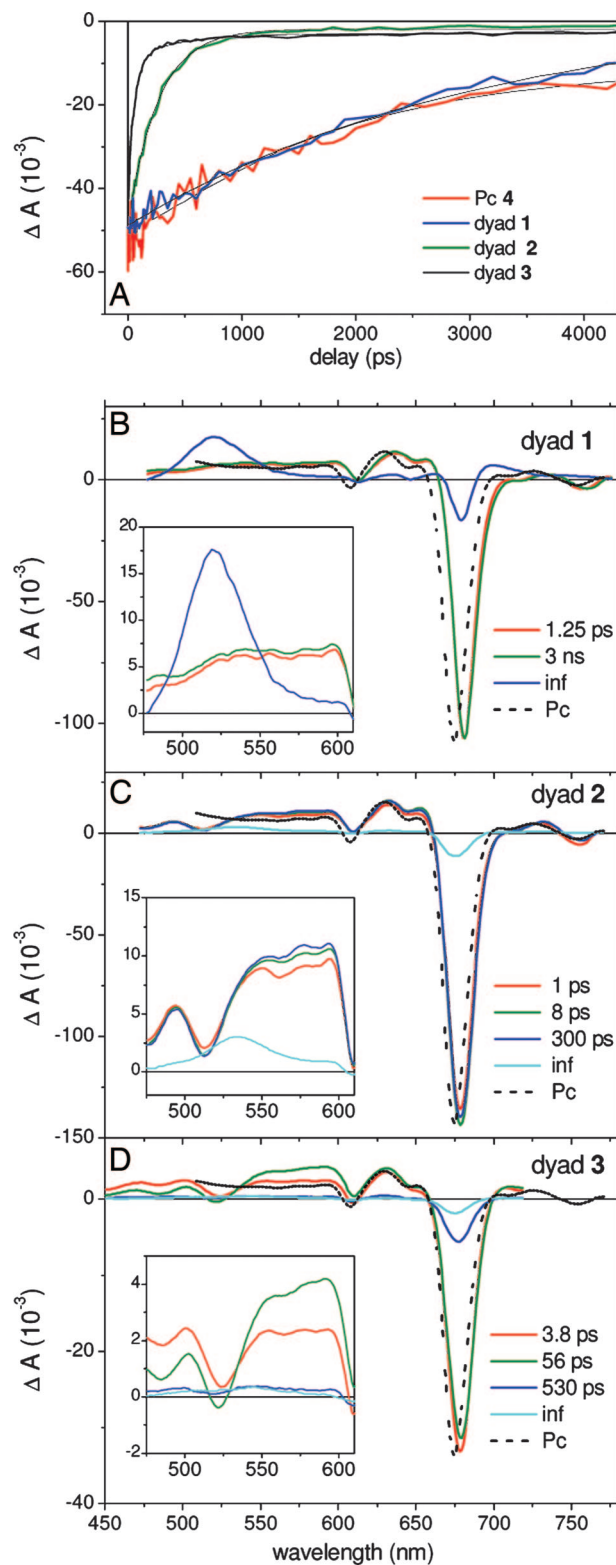


**Fig. 1.** Molecular structures and absorption spectra of the compounds. (Upper) Molecular structures of the dyads. A zinc-Pc is covalently linked to a carotenoid with 9 conjugated carbon-carbon double bonds (dyad 1), 10 carbon-carbon double bonds (dyad 2), and 11 carbon-carbon double bonds (dyad 3). Model Pc 4 bears a hexanoyl group instead of a polyene. Model carotenoid 2' has a terminal ester instead of a Pc. (Lower) Absorption spectrum in THF for dyad 1 (dashed line), dyad 2 (dashed-dotted line), dyad 3 (dotted line), and model Pc 4 (solid line).

in tetrahydrofuran (THF) are shown in Fig. 1 Lower. The carotenoids of dyad 1, 2, and 3 have 9, 10, and 11 conjugated carbon-carbon double bonds, respectively, and a carbonyl group terminating the conjugated system. The carbonyl group is in an *s-cis* conformation, which only partly adds to  $\pi$ -conjugation of the system (1, 23). The lifetimes of the optically forbidden  $S_1$  state of the model carotenoids of dyads 1 and 2, determined previously to be 25 and 11.5 ps, respectively (21), are similar to those typically observed for other carotenoids with 9 and 10 conjugated carbon-carbon double bonds (1).

Fig. 2A shows kinetic traces with excitation and detection at 680 nm, corresponding to the maximum of Pc  $Q_y$  absorption, for dyads 1–3 and model Pc 4 dissolved in THF. The switch from nonquenching to quenching upon an increase in the conjugation length by one double bond is clearly seen in the shortened recovery time for the Pc ground-state bleach in dyad 2. The lifetimes of the  $Q_y$  states in dyad 1 and model Pc 4 have the same value (3 ns), whereas for dyad 2 the lifetime is reduced by a factor of 10 to 300 ps. When the conjugation length of the carotenoid is further increased (dyad 3) the carotenoid becomes an even stronger quencher, the lifetime of the  $Q_y$  state being 56 ps. Fig. 2 B–D shows the results (see below).

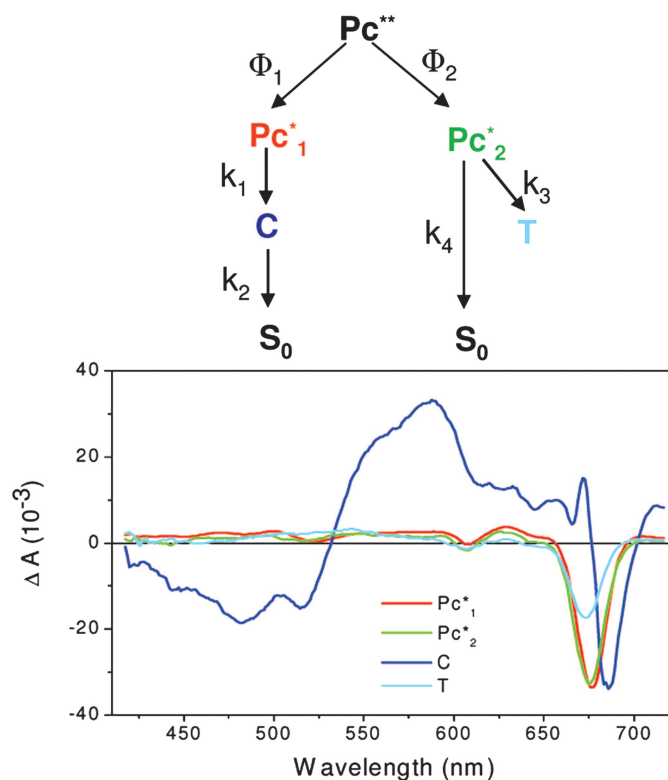
**Dyad 1.** The results of a global analysis of the time-resolved data taken on dyad 1 are presented as evolution-associated difference spectra (EADS) in Fig. 2B. Four kinetic components are needed for an adequate description: the first, appearing at time 0 and evolving into the second in 100 fs, is mainly the consequence of cross-phase modulation and coherent artifacts and is not shown. The second EADS (Fig. 2B, red line) has a lifetime of 1.25 ps and features ground-state bleach in the  $Q_x$  band of Pc (610 nm), ground-state bleach and stimulated emission in the  $Q_y$  band of



**Fig. 2.** Kinetic traces and global analysis of the time-resolved data. (A) Kinetic traces with excitation and detection at 680 nm for model Pc 4 (red line), dyad 1 (blue line), dyad 2 (green line), and dyad 3 (magenta line) in THF, along with the result of the global analysis fit (thin solid line). (B) EADS that follow from a global analysis of data for dyad 1. (Inset) An expanded view of the EADS in the spectral region from 475 to 620 nm. (C) The same as for B for dyad 2. (D) The same as for B for dyad 3. The difference spectra corresponding to the vibrationally relaxed, fully solvated  $Q_y$  state for model Pc 4, as determined from a global analysis, are also shown in B–D as black dashed lines.







**Fig. 4.** Target analysis of the time-resolved data. (Upper) Kinetic model used in target analysis of time-resolved data on dyads 2 and 3. (Lower) SADS from the target analysis for dyad 3 in THF. See text for details.

1–3 in acetone. In all three systems the  $Q_y$  state has a shorter lifetime than it does in THF (Fig. 2A). Dyad 1 decayed monoexponentially with a time constant of 600 ps. Dyad 2 displayed a biexponential decay with time constants of 30 ps (75% amplitude) and 120 ps (25% amplitude), whereas dyad 3 showed a decay with 16 ps (65%) and 90 ps (35%). A similar scenario was found in DMSO (results not shown). Thus, in polar solvents the quenching becomes faster. The results from a global analysis on dyad 3 in acetone are shown in Fig. 6, which is published as supporting information on the PNAS web site.

**Target Analysis: Identification of the Quenching Species.** The sequential data analysis allows extraction of intrinsic lifetimes, but the spectra generally represent a mixture of transient species. Therefore, despite providing strong evidence for the presence of a transient species other than singlet-excited Pc, sequential analysis alone cannot provide a definitive spectral signature of such a species. To identify the relevant molecular states, we globally analyzed the time-resolved data with a target analysis, wherein the data are described in terms of a kinetic scheme, enabling the estimation of the spectral signatures, and lifetimes, of the “pure” molecular species (26). Our

goal is to identify the carotenoid state that is responsible for quenching the singlet excited state of Pc.

The biexponential decay of  $Q_y$  in the case of dyad 3 observed in THF and acetone may stem from an inhomogeneity of the dyad ground-state population in which one species is more strongly quenched than the other. To account for the biexponentiality the target analysis model requires two independent Pc excited-state populations.

The kinetic model is depicted in Fig. 4 Upper and consists of five compartments: The first,  $Pc^{**}$ , corresponds to an unrelaxed Pc excited state that decays in  $<100$  fs into two independent Pc excited-state populations,  $Pc_1^*$  and  $Pc_2^*$  (target analysis cannot distinguish this case from the case of two unrelaxed Pc excited-state species prepared initially). A fraction  $\Phi_1$  relaxes to  $Pc_1^*$ , whereas a fraction  $\Phi_2$  relaxes to  $Pc_2^*$ .  $Pc_1^*$  evolves to  $C$ , which represents a carotenoid state, with a rate constant  $k_1$ .  $C$  decays entirely to the ground state with a rate constant  $k_2$ . In this model the carotenoid state  $C$  is slowly populated and quickly depopulated and therefore attains a low transient concentration.  $Pc_2^*$  decays with rate constant  $k_3$  to a long-lived mix of Pc and carotenoid triplet states  $T$ , in competition with decay to the ground state with a rate constant  $k_4$ .

Fig. 4 Lower shows the species-associated difference spectra (SADS) resulting from target analysis for dyad 3 in THF. The estimated rate constants and branching ratios are summarized in Table 1. The spectrum corresponding to  $Pc^{**}$  is not shown; 82% of  $Pc^{**}$  decays to  $Pc_1^*$  (Fig. 4 Lower, red line), whereas 18% decays to  $Pc_2^*$  (Fig. 4 Lower, green line).  $Pc_1^*$  decays to  $C$  (Fig. 4 Lower, blue line) with a rate constant of  $(56 \text{ ps})^{-1}$ , which in turn decays to the ground state with a rate constant  $(3.8 \text{ ps})^{-1}$ .  $Pc_2^*$  decays to  $T$  (Fig. 4 Lower, cyan line) with a rate constant of  $(840 \text{ ps})^{-1}$  and to the ground state with a rate constant of  $(1.3 \text{ ns})^{-1}$ . The SADS (Fig. 4) of  $Pc_1^*$  shows bleaching of the  $Q_x$  and  $Q_y$  bands and a broad excited-state absorption from 520 to 600 nm. Compared with the EADS with a lifetime of 56 ps in Fig. 2D (green line), the carotenoid bleach feature near 520 nm and absorption feature near 550 nm have largely disappeared, indicating that the separation of Pc and carotenoid signals was successful. The small carotenoid band-shift/ground-state bleach remains present in the  $Pc_1^*$  SADS, consistent with its instantaneous occurrence in the sequential analysis.

The SADS of state  $C$  has the typical shape of the  $S_1 \rightarrow S_n$  transient absorption spectrum of carotenoids (1). The negative features at wavelengths  $<530$  nm correspond to ground-state bleaching of the strongly allowed  $S_2$  state, with vibronic features at 480 and 520 nm. The excited-state absorption region  $>530$  nm is typical for the  $S_1$  state with a maximum at 580 nm and a pronounced shoulder near 550 nm. The strong bleach in the 680- to 690-nm region is an artifact and forms no part of the carotenoid  $S_1$  spectrum. It results from relaxation processes of Pc that take place on the ps time scale and are independent from the quenching phenomenon, but could not be separated from the carotenoid dynamics in the target analysis procedure. In reality, this feature represents a small red shift of the Pc bleach, also observed in model Pc 4 (data not shown), and is artificially expanded by the target analysis. The results for dyad 2 and 3 in acetone are shown in Fig. 7, which is published as supporting

**Table 1.** Rate constants for dyad 3 in THF and dyads 2 and 3 in acetone as derived from the target kinetic model depicted in Fig. 4

Compound, solvent	$\Phi_1$	$\Phi_2$	$k_1$	$k_2$	$k_3$	$k_4$
Dyad 3, THF	0.82	0.18	$(56 \text{ ps})^{-1}$	$(4 \text{ ps})^{-1}$	$(840 \text{ ps})^{-1}$	$(1.3 \text{ ns})^{-1}$
Dyad 2, acetone	0.75	0.25	$(30 \text{ ps})^{-1}$	$(4 \text{ ps})^{-1}$	$(526 \text{ ps})^{-1}$	$(179 \text{ ps})^{-1}$
Dyad 3, acetone	0.54	0.46	$(17 \text{ ps})^{-1}$	$(4.8 \text{ ps})^{-1}$	$(303 \text{ ps})^{-1}$	$(130 \text{ ps})^{-1}$

$\Phi_1$  and  $\Phi_2$  are population fractions at the branching point.

information on the PNAS web site. We attempted to apply the target analysis to dyad 2 dissolved in THF, but found that the transient concentration of excited-state carotenoid species C was too low to reliably estimate its spectral shape and dynamics.

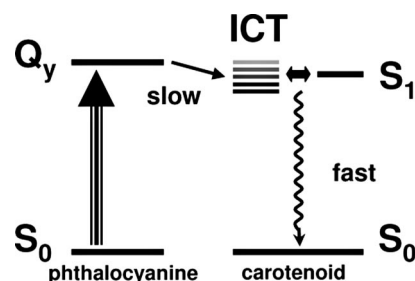
The polarity dependence of the quenching suggests that electron transfer from the carotenoid to the Pc moiety could be responsible for the quenching process. To test this possibility we extensively probed the 850- to 980-nm region, but did not find any signature of a carotenoid radical ion (see Fig. 8, which is published as supporting information on the PNAS web site). In all systems, including model 4, we found the same scenario: a relatively flat spectrum decaying with the same lifetime as the  $Q_y$  state of Pc, confirming that the signal in this region is entirely caused by Pc excited-state absorption.

By showing that the SADS of the quenching species strongly resembles that of the well known  $S_1$  difference spectrum of carotenoids (1), target analysis provides good evidence for the essential role of the carotenoid  $S_1$  excited state as an energy acceptor in the quenching process. However, because energy transfer to or from the  $S_1$  state should not depend markedly on solvent polarity (1), simple energy transfer involving the unperturbed carotenoid  $S_1$  state is unlikely to be solely responsible for the Pc excited-state quenching. To characterize the putative perturbation to  $S_1$ , we performed transient absorption measurements on a model for the carotenoid from dyad 2, where Pc was replaced by a methyl ester (Fig. 1, model carotenoid 2'), dissolved in solvents of different polarities. Fig. 9, which is published as supporting information on the PNAS web site, shows the EADS of the model carotenoid after internal conversion from  $S_2$  in  $\approx 100$  fs and vibrational cooling in  $\approx 500$  fs, dissolved in DMSO (green curve), THF (blue curve), and hexane (red curve). Solvent polarity-dependent shape changes that cannot be ascribed to the unperturbed  $S_1$  state are readily apparent. In hexane the spectrum looks like the well known difference spectrum associated with population of the  $S_1$  state of carotenoids (1), but in THF a new band, characterized by a broad signal in the region  $>625$  nm, is present. When the polarity is further increased (DMSO), this signal ( $>650$  nm) becomes more prominent.

A similar dependence of spectral shape on solvent polarity was reported for several naturally occurring substituted carotenoids and assigned to the formation of an intramolecular charge transfer (ICT) state (23, 27). The ICT state is thought to arise from the presence of a carbonyl moiety in conjugation with the extended  $\pi$ -electron system; the carbonyl moiety accumulates electron density upon photoexcitation. The ICT and  $S_1$  states may effectively behave as one state (27) or as distinct molecular states that equilibrate rapidly upon population from the optically allowed  $S_2$  state (28). The carotenoids in this study also feature carbonyl groups. In model carotenoid 2', the presence of a charge transfer band coexisting with the  $S_1$  state is clear. The spectral signature of the carotenoid state C as it follows from the target analysis (Fig. 4 Lower) and Fig. 7 shows a broad, flat excited-state absorption at wavelengths longer than  $\approx 600$  nm, which is consistent with the population of an ICT state in this system as well. Thus, the quenching state in the dyads most likely does not correspond to the pure  $S_1$  state, but to a mixture of  $S_1$  and ICT states. Note that because ICT and  $S_1$  are closely linked, it is not possible to treat them as separate entities in the target analysis.

## Discussion

A change in the conjugation length of carotenoids is a key characteristic of the process leading to the thermal dissipation of excess energy in PSII, where the activation of Chl singlet excited-state quenching is correlated with the conversion of violaxanthin (nine double bonds) into zeaxanthin (11 double bonds). Our results with artificial light-harvesting dyads show



**Fig. 5.** Schematic representation of the proposed quenching process: the energy from the  $Q_y$  state of Pc is transferred to the carotenoid ICT state, which then equilibrates with the carotenoid  $S_1$  state before relaxation to the ground state by internal conversion. An increase in solvent polarity leads to a lowering of the ICT energy (gray to black lines).

that a carotenoid of proper length can efficiently dissipate the  $Q_y$  energy of Pc by shortening its excited-state lifetime. In THF, a solvent of moderate polarity, an increase of the conjugation length by one double bond (dyad 1 to dyad 2) turns the carotenoid from a nonquencher into a strong quencher. When the molecules are dissolved in the highly polar solvents acetone and DMSO, the quenching becomes stronger and even dyad 1 displays limited quenching. Target analysis has shown that the quenching in dyads 2 and 3 proceeds via a carotenoid  $S_1$ /ICT state. The solvent polarity dependence indicates that the ICT state modulates the process as shown in Fig. 5. The  $Q_y$  state of Pc transfers energy to the ICT state, which quickly equilibrates with  $S_1$ . Then, the carotenoid rapidly relaxes to the ground state on the time scale of several picoseconds by internal conversion. The increase in solvent polarity shifts the energy of the ICT state downward (Fig. 5, gray to black lines), making it more accessible from the  $Q_y$  state of Pc and increasing the quenching.

ICT states are not confined to carotenoids that have a carbonyl group in their conjugated system, as there is evidence for their occurrence in xanthophylls bound to natural photosynthetic LHCs, in particular LHCII, the main constituent of the PSII antenna (29). Instead of an internal electron withdrawing group (such as a carbonyl), the interaction with polar amino acids, inorganic cations such as  $Mg^{2+}$  or nearby pigments, resulting in a highly asymmetric environment of the molecule, may stabilize an ICT state. Also, geometric deformations of the polyene backbone have been proposed to play a role in lowering ICT states in carotenoids (30). Trimeric LHCII binds two luteins that are distinguishable by their steady-state absorption spectrum. A red-shifted lutein shows a particularly large Stark effect with a  $|\Delta\mu|$  of 14.6 D (29), which corresponds to the transfer of  $\approx 12\%$  of an elementary charge along the conjugated backbone (31), demonstrating that electronic excited states in xanthophylls can be strongly coupled to ICT states. Interestingly, neither the second, blue-shifted lutein in trimeric LHCII, nor either of the two luteins in monomeric LHCII exhibited such a pronounced Stark effect. Additionally, it was shown that the red-shifted lutein assumed a distorted geometry in LHCII trimers, whereas the blue lutein assumes a relaxed conformation (32). These observations indicate that very specific carotenoid–protein or carotenoid–Chl interactions are responsible for the mixing between lutein electronic excited and ICT states, induced by conformational changes of the highly plastic LHCII protein.

It has been argued that the quenching of Chl excited states by zeaxanthin in PSII could not occur via the zeaxanthin  $S_1$  state because the  $S_1$  energies of violaxanthin and zeaxanthin in organic solvent and recombinant LHCII are not sufficiently different and lie below that of Chl *a* (6, 33, 34). However, *in vivo* light absorption and resonant Raman experiments on quenched PSII in leaves and chloroplasts indicated that zeaxanthin undergoes

dramatic spectroscopic changes upon induction of feedback deexcitation: its absorption maximum shifts to the red by at least 22 nm, and the molecule assumes a very specific, highly twisted conformation (35). To account for these phenomena, Ruban *et al.* (35) proposed that zeaxanthin is bound in a highly polarizing local environment, possibly arising from a strong electric field of a dipole or charge in the zeaxanthin vicinity. It seems plausible that such an extensive change of local environment, combined with a distortion of the polyene backbone, may bring down ICT states of zeaxanthin to couple with  $S_1$ , and thereby activate a quenching mechanism similar to that reported here for the model systems.

## Conclusions

Our results with covalently linked carotenoid–Pc dyads show that carotenoids can efficiently quench tetrapyrrole singlet excited states by means of singlet energy transfer to low-lying, optically forbidden carotenoid excited states. The solvent polarity dependence and spectroscopic evidence point to the involvement of a carotenoid ICT state in the energy transfer process. Moreover, expanding the conjugated system of a carotenoid by only one double bond turns the carotenoid from a nonquencher into an effective quencher of Pc singlet excited states. In the antenna of PSII, a similar phenomenon may take place where the addition of two double bonds to the conjugated system of violaxanthin converts it to zeaxanthin, which may be a strong quencher. In other carotenoid-tetrapyrrole constructs having structures and thermodynamics for photoinduced electron transfer distinctly different from those of dyads 1–3 electron transfer quenching of the tetrapyrrole  $Q_y$  state has been unequivocally assigned (21, 36). Having these two model systems in hand, it should be

possible to establish the factors controlling the quenching mechanisms in natural photosynthesis.

## Materials and Methods

Synthesis of the dyads is described in *Supporting Text*, which is published as supporting information on the PNAS web site. Femtosecond transient absorption spectroscopy was carried out with a spectrometer as described earlier (37). The excitation was tuned to 680 nm to selectively excite the  $Q_y$  state of Pc. The pulse energy was 100 nJ, corresponding to an excitation density of  $\approx 10^{15}$  photons·pulse $^{-1}$ ·cm $^{-2}$ . The data were globally analyzed (26) by using a kinetic model consisting of sequentially interconverting EADS, e.g.,  $1 \rightarrow 2 \rightarrow 3 \rightarrow \dots$  in which the arrows indicate successive monoexponential decays of increasing time constants, which can be regarded as the lifetime of each EADS. The number of kinetic components corresponds to the minimum required to eliminate any correlated structure in the residuals. The first EADS corresponds to the time-zero difference spectrum. Because the EADS may reflect mixtures of molecular species, a target analysis was performed in which a specific kinetic scheme was applied. With this procedure, the SADS of pure molecular states were estimated. The instrument response function was fitted to a Gaussian of 120 fs (full width at half maximum), similar to the value obtained from the analysis of the induced birefringence in CS $_2$ .

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